

Aberdeen Standard Capital Limited (ASCL) MiFID II 2018 Top 5 Reports - **Professional**

RTS 28, Article 65

Broker Reports

Venue Reports

Standard Life Wealth is now Aberdeen Standard Capital Limited (ASCL)
MiFID II Best Execution for Professional Clients (01/01/2018 - 31/12/2018)



Broker Report					
Class of Instrument		Equities – Shares & Depositary Receipts - Tick Size Band 1 & 2			
Notification if <1 average trade per business day in the previous year		N			
Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Standard Life Investments Limited ONN53RF43KDRFCB1J158	100.00%	100.00%	n/a	n/a	0.00%
Broker Report					
Class of Instrument		Equities – Shares & Depositary Receipts - Tick Size Band 3 & 4			
Notification if <1 average trade per business day in the previous year		N			
Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Standard Life Investments Limited ONN53RF43KDRFCB1J158	100.00%	100.00%	n/a	n/a	0.00%
Broker Report					
Class of Instrument		Equities – Shares & Depositary Receipts - Tick Size Band 5 & 6			
Notification if <1 average trade per business day in the previous year		N			
Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
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Broker Report					
Class of Instrument		Debt Instruments - Bonds			
Notification if <1 average trade per business day in the previous year		N			
Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
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Top 5 Brokers on RFQ Venues					
Class of Instrument		Debt Instruments - Bonds			
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Broker Report					
Class of Instrument		Currency Derivatives - Swaps, Forwards, and Other Currency Derivatives			
Notification if <1 average trade per business day in the previous year		N			
Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
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Top 5 Brokers on RFQ Venues					
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Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
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Broker Report					
Class of Instrument		Equity Derivatives - Options and Futures admitted to trading on a trading venue			
Notification if <1 average trade per business day in the previous year		N			
Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
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Broker Report					
Class of Instrument		Other Instruments			
Notification if <1 average trade per business day in the previous year		N			
Top 5 Execution Venues ranked in terms of trading volumes (descending order) Proportion of volume traded as a percentage of total in that class	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
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Venue Report					
Class of Instrument		Other Instruments			
Notification if <1 average trade per business day in the previous year		Y			
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Commentary

Aberdeen Standard Capital Limited (ASC) has selected Standard Life Investments Limited (SLIL) as our outsourced provider to undertake all trading and execution for our professional Fund clients. All trade orders follow the order execution policies of ASC and SLIL. ASC has oversight of SLIL's trade executions carried out on behalf of ASC. ASC and SLIL are both within the same group of companies and with the same ultimate parent company. ASC and SLIL are not in competition with each other and there are no conflicts which would incentivise staff to treat ASC trades differently from SLIL's trades.