

**THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION.  
IF IN DOUBT, PLEASE SEEK PROFESSIONAL ADVICE**

26 October 2018

Dear Shareholder,

**ABERDEEN GLOBAL**

**DATA PROTECTION INFORMATION IN ACCORDANCE WITH EU GENERAL DATA PROTECTION REGULATION (GDPR)**

The following gives you an overview of the way in which Aberdeen Global (the "Fund"), Aberdeen Global Services S.A. and other third parties may process your personal data and your rights under Data Protection Law (as defined below).

The Fund and its management company, Aberdeen Global Services S.A., (the "Management Company"), collect, store on computer systems and process, by electronic or other means, information in relation to the investors and their representative(s) (such as legal representatives and authorised signatories), employees, directors, officers, trustees, settlors, their shareholders/unitholders, nominees and/or beneficial owner(s) (as applicable) (the "Data Subjects") which may qualify as personal data as defined by applicable data protection law (the "Personal Data") in connection with an application to subscribe for shares, or in the course of their investment in the Fund. Personal Data including in particular details of the investors' shareholding, will be stored in digital form and processed in compliance with applicable laws and regulations.

Personal Data provided or collected in connection with an investment in the Fund may be processed by the Fund and the Management Company, as joint data controllers (the "Controllers") and disclosed to, and processed by, Aberdeen Asset Managers Limited, Aberdeen Asset Management Inc., Aberdeen Asset Management Limited and Aberdeen Standard Investments (Hong Kong) Limited acting as investment managers, Aberdeen Standard Investments (Japan) Limited and Aberdeen Standard Investments (Asia) Limited acting as sub-investment managers or any other company within the Standard Life Aberdeen Group, DST Financial Services Europe Limited, DST Financial Services International Limited, BNP Paribas Securities Services, Luxembourg Branch acting as depositary and as paying agent, State Street Bank Luxembourg S.C.A. acting as administrator, any distributor or sub-distributor, KPMG Luxembourg, *Société Coopérative* acting as auditor, legal and financial advisers and other service providers of the Fund (including its administrative support and information technology providers) and any of the foregoing respective agents, delegates, affiliates, subcontractors and/or their successors and assigns, acting as data processor on behalf of the Fund (the "Processors"). The Processors may act as data processors on behalf of the Controllers or, in certain circumstances, as data controllers, in particular for compliance with their legal obligations in accordance with applicable laws and regulations (such as anti-money laundering identification) and/or order of competent jurisdiction.

Controllers and Processors shall process Personal Data in accordance with Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data (the "Data Protection Directive") as transposed in applicable local laws and, when applicable, the Regulation (EU) 2016/679 of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (the "General Data Protection Regulation" or "GDPR"), as well as any applicable law or regulation relating to the protection of personal data (together the "Data Protection Law").

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Further (updated) information relating to the processing of Personal Data of Data Subjects may be provided or made available, on an ongoing basis, through various channels, including electronic communication means, such as email, websites, portals or platforms, as deemed appropriate to allow the Controllers and/or Processors to comply with their obligations of information according to Data Protection Law.

Personal Data may include, without limitation, the name, address, telephone number, business contact information, employment and job history, financial and credit history information, current and historic investments, investment preferences and invested amount of Data Subjects and any other information that is necessary to the Controllers and Processors for the purposes described below. Personal Data is collected directly from Data Subjects or may be collected through publicly accessible sources, subscription services, or other third party data sources.

Personal Data will be processed by the Controllers and the Processors for the following purposes: (i) to offer investment in shares and to perform the related services as contemplated in the prospectus of the Fund (the "Prospectus") and the application form of the Fund such as but not limited to the opening of shareholder accounts, the management and administration of shares, including the processing of subscriptions and redemptions or transfer of shares, maintaining a customer relationship data base, payments to investors, updating and maintaining records and fee calculation, maintaining the register of shareholders, investor communications, (ii) to offer other related services resulting from any agreement entered into between Controllers and a service provider that is communicated or made available to the investors (together referred to as the "Investment Services").

As part of the Investment Services, Personal Data may also be processed for the purpose of direct marketing activities (by electronic communications means such as email, SMS or internet, or otherwise, including post), notably to provide Data Subjects with general or personalised information about investment opportunities, products and services proposed by or on behalf of the Fund, its service providers, delegates and business partners. The legal basis for the processing of Personal Data in the context of such marketing activities will be either the legitimate interest of the Fund or, if required by law, consent of the Data Subjects.

Personal Data will also be processed by the Controllers and Processors to pursue their own legitimate business interests or to comply with applicable legal and regulatory obligations or to carry out any other form of cooperation with, or reporting to, public authorities. This includes, but is not limited to, legal obligations under applicable fund and company law (such as maintaining the register of shareholders and recording orders), prevention of terrorism law, anti-money laundering law and counter terrorist financing (AML-CTF) (such as carrying out customer due diligence including notably screening against official sanctions lists), prevention and detection of crime, and tax law (such as reporting under the FATCA Law and the CRS Law (as defined in the section "Taxation")). It also includes any other tax identification legislation to prevent tax evasion and fraud as applicable, and to prevent fraud, bribery, corruption and the provision of financial and other services to persons subject to economic or trade sanctions on an on-going basis in accordance with the AML-CTF procedures of the Controllers and Processors, as well as to retain AML-CTF and other records of the Data Subjects for the purpose of screening by the Controllers and Processors (the "Compliance Obligations").

Controllers and Processors will collect, use, store, retain, transfer and/or otherwise process Personal Data: (i) as a result of the subscription of investors to the application form of the Fund where necessary to perform the Investment Services or to take steps at the request of Applicants prior to such subscription, including as a result of the holding of shares in general and/or; (ii) where necessary to comply with a legal or regulatory obligation of Controllers or Processors and/or; (iii) where necessary for the performance of a task carried out in the public interest and/or; (iv) in the event the application form is not entered into directly by the concerned Data Subject, Personal Data may be processed for the purposes of the legitimate interests pursued by Controllers or by Processors, which mainly consist in the performance of the Investment Services, or direct or indirect marketing activities, or compliance with the Compliance Obligations regulations and/or any order

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of a foreign court, government, supervisory, regulatory or tax authority, including when providing such Investment Services to any beneficial owner and any person holding shares directly or indirectly in the Fund and/or; (v) where applicable under certain specific circumstances, on the basis of investors' consent (which may be withdrawn at any time without affecting the lawfulness of processing based on such consent before its withdrawal).

Personal Data may be disclosed to and/or transferred to and otherwise accessed or processed by Processors and/or target entities and/or other funds or related entities (including without limitation their respective general partner or management company/investment manager and service providers) in or through which the Fund intends to invest, as well as any court, governmental or regulatory bodies including tax authorities in Luxembourg or in various jurisdictions, in particular those jurisdictions where (i) the Fund is or is seeking to be registered for public or limited offering of its shares, (ii) investors are resident, domiciled or citizens or (iii) the Fund is, or is seeking to, be registered, licensed or authorised to invest for carrying out the Investment Services and to comply with the Compliance Obligations (the "Authorised Recipients"). The Authorised Recipients may act as data processor on behalf of Controllers or, in certain circumstances, as data controller for pursuing their own purposes, in particular for performing their services or for compliance with their legal obligations in accordance with applicable laws and regulations and/or order of court, government or regulatory body, including tax authority.

Controllers undertake not to transfer Personal Data to any third parties other than the Authorised Recipients, except as disclosed to investors from time to time or if required or permitted by applicable laws and regulations, including Data Protection Law, or by any order from a court, governmental, supervisory or regulatory body, including tax authorities.

By subscribing or purchasing shares of the Fund, investors acknowledge and accept that Personal Data will be processed for the purpose of the Investment Services and Compliance Obligations described above and in particular, that the disclosure and the transfer of their Personal Data may take place to the Authorised Recipients, including the Processors, which are located in countries outside of the European Union (including but not limited to the United States, Hong Kong, Singapore, and India), which are not subject to an adequacy decision of the European Commission and whose legislation does not ensure an adequate level of protection as regards the processing of personal data.

Controllers may only transfer Personal Data for the purposes of performing the Investment Services or of complying with the Compliance Obligations.

Controllers will transfer Personal Data to the Authorised Recipients (i) on the basis of an adequacy decision of the European Commission with respect to the protection of personal data and/or on the basis of the EU-U.S. Privacy Shield framework or, (ii) on the basis of appropriate safeguards according to Data Protection Law, such as standard contractual clauses, binding corporate rules, an approved code of conduct, or an approved certification mechanism or, (iii) in the event it is required by any judgment of a court or tribunal or any decision of an administrative authority, on the basis of an international agreement entered into between the European Union or a concerned Member State and other jurisdictions worldwide or, (iv) where applicable under certain specific circumstances, on the basis of the investor's explicit consent or, (v) where necessary for the performance of the Investment Services or for the implementation of pre-contractual measures taken at the shareholders' request or, (vi) where necessary for the Processors to perform their services rendered in connection with the Investment Services which are in the interest of the Data Subjects or, (vii) where necessary for important reasons of public interest or, (viii) where necessary for the establishment, exercise or defence of legal claims or, (ix) where the transfer is made from a register, which is legally intended to provide information to the public or, (x) where necessary for the purposes of compelling legitimate interests pursued by the Controllers or the Processors, to the extent permitted by Data Protection Law.

In the event the processing of Personal Data or transfer of Personal Data outside of the European Union take place on the basis of the consent of the investors, Data Subjects are entitled to withdraw their consent

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at any time without prejudice to the lawfulness of the processing and/or data transfers carried out before the withdrawal of such consent. In case of withdrawal of consent, Controllers will accordingly cease such processing or transfers. Any change to, or withdrawal of, Data Subjects' consent can be communicated in writing to the Management Company at its registered office to the attention of the Data Protection Officer at the addresses indicated below.

Insofar as Personal Data is not provided by the Data Subjects themselves (including where Personal Data provided includes Personal Data concerning other Data Subjects), investors represent that they have authority to provide Personal Data of other Data Subjects and will remain responsible if they do so. If investors are not natural persons, they confirm that they have undertaken to (i) inform any Data Subject about the processing of their Personal Data and their related rights (as well as how to exercise them) as described in the Prospectus, in accordance with the information requirements under the Data Protection Law and (ii) where necessary and appropriate, obtained in advance any consent that may be required for the processing of Personal Data as described in the Prospectus in accordance with the requirement of Data Protection Law. Any such consent will be documented in writing.

Measures are taken to ensure confidentiality and security of the Personal Data. In particular, where the same level of confidentiality and data protection in relation to personal data as is currently in force in Luxembourg may not be guaranteed as the Personal Data is transferred electronically and made available or kept outside of Luxembourg, the Fund and/or the Management Company, so far as is possible, seek to ensure that the agents, delegates and sub-delegates, who may or not be part of the Standard Life Aberdeen Group, maintain appropriate data protection and confidentiality standards. Investors acknowledge and agree that the Standard Life Aberdeen Group companies limit their liability to the maximum extent permitted under applicable law in respect of Personal Data being obtained by unauthorised third parties.

It is mandatory to answer questions and requests with respect to Data Subjects' identification and shares held in the Fund and, as applicable, FATCA and/or CRS. Investors acknowledge and accept that failure to provide relevant personal data requested by the Management Company and/or the Administrator in the course of their relationship with the Fund may result in incorrect or double reporting; may prevent investors from maintaining their shares in the Fund; and may be reported to the relevant Luxembourg authorities.

Investors acknowledge that the Fund, the Management Company and/or the administrator may be obliged to collect and report any relevant information in relation to investors and their investments in the Fund (including but not limited to name and address, date of birth and U.S. tax identification number (TIN), account number, balance on account) to the Luxembourg tax authorities (Administration des contributions directes) which will exchange this information on an automatic basis with the competent authorities in the United States or other permitted jurisdictions (including the U.S. Internal Revenue Service (IRS) or other US competent authority and foreign tax authorities located outside the European Economic Area) only for the purposes provided for in the FATCA, and CRS, at OECD and EU levels or equivalent Luxembourg legislation.

Data Subjects may request, in the manner and subject to the limitations prescribed in accordance with Data Protection Law, (i) access to and rectification or deletion of, any incorrect Personal Data concerning themselves, (ii) a restriction or objection of processing of Personal Data concerning themselves and, (iii) to receive Personal Data concerning themselves in a structured, commonly used and machine readable format or to transmit those Personal Data to another controller and, (iv) to obtain a copy of, or access to, the appropriate or suitable safeguards, such as standard contractual clauses, binding corporate rules, an approved code of conduct, or an approved certification mechanism, which have been implemented for transferring the Personal Data outside of the European Union. In particular, Data Subjects may at any time object, on request, to the processing of Personal Data concerning themselves for marketing purposes or for any other processing carried out on the basis of the legitimate interests of Controllers or Processors. Each Data Subject should address such requests to the Management Company to the attention of the Data Protection Officer.

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Investors are entitled to address any claim relating to the processing of their Personal Data carried out by Controllers in relation with the Investment Services or compliance with the Compliance Obligations by lodging a complaint with the relevant data protection supervisory authority (in Luxembourg, the *Commission Nationale pour la Protection des Données* - [www.cnpd.lu](http://www.cnpd.lu)).

Personal Data of Data Subjects will be retained by the Controllers and Processors until investors cease to have shares in the Fund and a subsequent period of 10 years thereafter where necessary to comply with applicable laws and regulations or to establish, exercise or defend actual or potential legal claims, subject to the applicable statutes of limitation, unless a longer period is required by applicable laws and regulations. In any case, Personal Data shall not be held for longer than necessary with regard to the purpose of the data processing (Investment Services and Compliance Obligations), subject always to applicable legal minimum retention periods.

Your Board of Directors accepts responsibility for the accuracy of the information contained in this letter. To the best of the knowledge and belief of your Board of Directors (who have taken reasonable care to ensure this is the case) the information contained in this letter is in accordance with the facts and does not omit anything likely to affect the importance of such information.

For any additional information related to the processing of their Personal Data, Data Subjects can contact the Data Protection Officer of Controllers via post mail at 35a, avenue John F. Kennedy, L-1855 Luxembourg or via email at [ASI.DP.office@aberdeen-asset.com](mailto:ASI.DP.office@aberdeen-asset.com) or at Aberdeen Standard Investments (Hong Kong) Limited whose office is at 30<sup>th</sup> Floor, LHT Tower, 31 Queen's Road Central, Hong Kong, Tel. 852 2103 4700.

Yours faithfully,



Soraya Hashimzai  
For and on behalf of  
Aberdeen Global

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**此乃要件，請即處理。如有疑問，請諮詢專業意見**

2018年10月26日

尊敬的股東：

### 安本環球基金

#### 根據歐盟一般資料保護法規(GDPR)編製的資料保障資訊

下文概述安本環球基金（「基金」）、Aberdeen Global Services S.A.及其他第三方可根據資料保護法（定義見下文）處理您的個人資料的方式及您擁有的權利。

基金及其管理公司 Aberdeen Global Services S.A.（「管理公司」）於股份認購申請內或於投資於基金的過程中以電子或其他方式收集、在電腦系統中儲存及處理有關投資者及其代表（例如法律代表及授權簽署人）、僱員、董事、高級職員、受託人、授予人、彼等的股東／單位持有人、代名人及／或實益擁有人（如適用）（「資料主體」）的資料，可能符合適用資料保護法所界定的個人資料（即「個人資料」）。包括投資者持股量具體詳情的個人資料將以數碼形式儲存及遵守適用法律及法規處理。

基金及管理公司作為共同的數據控制人（即「控制人」）可處理及向 Aberdeen Asset Managers Limited、Aberdeen Asset Management Inc.、Aberdeen Asset Management Limited 及安本標準投資管理（香港）有限公司（擔任投資經理）、Aberdeen Standard Investments (Japan) Limited 及 Aberdeen Standard Investments (Asia) Limited（擔任副投資經理）或標準人壽安本集團內的任何其他公司、DST Financial Services Europe Limited、DST Financial Services International Limited、BNP Paribas Securities Services（盧森堡分行）（擔任存管人及付款代理人）、State Street Bank Luxembourg S.C.A.（擔任行政管理人）、任何經銷人或副經銷人、KPMG Luxembourg, Société Coopérative（擔任核數師、法律及財務顧問）及基金其他服務供應商（包括其行政支援及資訊科技供應商）及任何上述各方各自的代理人、授權代表、聯屬人士、分包商及／或其承繼人及繼讓人披露及由彼等作為代表基金的資料處理人（即「處理人」）處理就投資於基金獲得或收集的個人資料。處理人可作為代表控制人的資料處理人或在若干情況下作為資料控制人，尤其是根據適用法律法規（例如防止洗黑錢身份證明）及／或具有管轄權的命令遵守其法律責任。

控制人及處理人應根據歐洲議會及歐洲委員會於 1995 年 10 月 24 日頒佈有關處理個人資料時對個人的保護及有關個人資料自由流動的 95/46/EC 指引（「資料保護指引」）（經於適用當地法律中調換順序）及（如適用）2016 年 4 月 27 日頒佈有關處理個人資料時對自然人的保護及有關個人資料自由流動的 2016/679 號歐盟規例（廢止 95/46/EC 指引）（「一般資料保護法規」或「GDPR」）以及有關保護個人資料的適用法律或法規（統稱為「資料保護法」）處理個人資料。

有關處理資料主體的個人資料的進一步（經更新）資料可能持續透過多種渠道提供或發佈，包括電子通訊手段，例如電郵、網站、入門網站或平台，使控制人及／或處理人根據資料保護法遵守其有關資料的責任。

個人資料可包括（但不限於）資料主體的姓名、地址、電話號碼、商業聯絡資料、受僱及工作情況、財務及信貸記錄資料、當前及過往投資、投資偏好及投資金額以及控制人及處理人就達成下述目的所必需的任何其他資料。個人資料直接向資料主體收集，亦可透過可公開來源、訂閱服務或其他第三方資料來源收集。

控制人及處理人可處理個人資料作下列用途：(i) 要約股份投資及履行基金招股說明書（「招股說明書」）及基金申請表格中訂明的相關服務，例如（但不限於）開立股東賬戶、股份管理及行政管理（包括處理股份認購及贖

安本環球基金

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獲盧森堡CSSF認可及監管。

盧森堡登記號碼：B27471。

安本標準投資管理是安本資產管理與標準人壽投資旗下投資業務的品牌。

回或轉讓)、維持客戶關係數據庫、向投資者付款、更新及維持記錄及費用計算、維持股東登記冊、投資者通訊, (ii)提供因控制人及服務供應商訂立並向投資者傳達或提供的任何協議而產生的其他相關服務(統稱為「投資服務」)。

作為投資服務的一部分,個人資料亦可用於直接營銷活動(透過電子通訊手段,例如電郵、SMS或互聯網或其他方式,包括郵寄),尤其是向資料主體提供有關基金、其服務供應商、受權代表及業務合作夥伴建議的投資機會、產品及服務的一般或個性化資料。基金的合法權益或(如法律要求)資料主體的同意乃將個人資料用於有關營銷活動的法律依據。

控制人及處理人亦將處理個人資料,以實現其自身的合法商業利益或遵守適用的法律及法規責任或與公共機關開展任何其他形式的合作或向公共機關報告。這包括但不限於適用基金及公司法(例如維持股東登記冊及記錄指令)、防止恐怖主義法律、防止洗黑錢及打擊恐怖活動融資法律(AML-CTF)(例如開展客戶盡職調查,尤其包括按照官方制裁名單篩選)、防止及發現犯罪及稅務法律(例如 FATCA 法及 CRS 法項下的報告)(定義見「稅項」一節)項下的法律義務。這亦包括任何其他防止逃稅及欺詐的適用稅務身份證明法例,以及控制人及處理人根據 AML-CTF 程序持續防止欺詐、賄賂、貪腐及向受到經濟或貿易制裁的人士提供金融及其他服務,以及控制人及處理人保留資料主體的 AML-CTF 及其他記錄,以進行篩選(「合規義務」)。

控制人及處理人將在下列情況下收集、使用、儲存、保留、轉移及/或以其他方式處理個人資料:(i)就因投資者於基金申請表格中認購而履行投資服務或在有關認購前按申請人的要求採取措施(包括一般因持有股份而必須採取的措施)而言屬必要;及/或(ii)就遵守控制人或處理人的法律或法規責任而言屬必要;及/或(iii)就開展符合公眾利益的工作而言屬必要;及/或(iv)倘相關資料主體並無直接簽立申請表格,可處理有關個人資料用於控制人或處理人追求的合法利益,主要包括履行投資服務、開展直接或間接的營銷活動或遵守合規義務法規及/或任何外國法院、政府、監督、監管或稅務機關的任何命令,包括向任何實益擁有人及直接或間接持有基金股份的任何人士提供有關投資服務;及/或(v)倘適用,於若干特定情況下,基於投資者同意(可隨時撤銷而不影響在有關同意被撤銷之前基於該同意作出處理的合法性)。

個人資料可披露予及/或轉讓予處理人及/或目標實體及/或基金擬投資或作出投資所借助的其他基金或相關實體(包括但不限於彼等各自的普通合夥人或管理公司/投資經理及服務供應商)以及任何法院、政府或監管機構,包括盧森堡或各司法管轄區的稅務機關,尤其是(i)基金已經登記或正尋求登記以令其股份上市或作有限發售,(ii)投資者居住、定居或身為公民或(iii)基金已經或正尋求進行投資登記、獲得許可或授權以開展投資服務及遵守合規義務的司法管轄區(「獲授權接收人」)及由上述人士以其他方式獲取或處理。獲授權接收人可作為代表控制人的資料處理人或在若干情況下作為資料控制人以實現其自身的目的,特別是履行其服務或根據適用法律法規及/或法院、政府或監管機構(包括稅務機關)的命令遵守其法律責任。

控制人承諾不會向獲授權接收人以外的任何第三方轉移個人資料,除不時向投資者披露或適用法律及法規(包括資料保護法)或法院、政府、監督或監管機構(包括稅務機關)頒佈的任何命令要求或准許的情況外。

一經認購或購買基金的股份,投資者確認及同意個人資料將用於上述投資服務及合規義務,特別是可能向獲授權接收人披露及轉移其個人資料,包括位於歐盟以外國家(包括但不限於美國、香港、新加坡及印度)的處理人,該等國家不受歐洲委員會的充分性決定規限,且其法例不保證就處理個人資料提供充足的保護。

控制人僅可因履行投資服務或遵守合規義務的目的而轉移個人資料。

在資料保護法允許的範圍內,控制人將於下列情況下向獲授權接收人轉移個人資料:(i)基於歐洲委員會有關保護個人資料的充分性決定及/或基於歐美數據私隱護盾條約;或(ii)基於資料保護法的合適保護措施,例如標準合約條款,具約束力的公司規則、經批准的操守準則或經批准的證明機制;或(iii)倘任何法院或審裁機構的任何判決或行政機關的任何決定要求,基於歐盟或相關成員國與世界其他司法管轄區訂立的國際協議;或(iv)倘適用,於若干特定情況下,基於股東的明確同意;或(v)倘就履行投資服務或實施股東要求採取的合約前措施

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獲盧森堡CSSF認可及監管。

盧森堡登記號碼: B27471。

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而言屬必要；或(vi)倘就處理人履行其就投資服務而提供的服務而言屬必要，且有關服務符合資料主體的利益；或(vii)倘因公眾利益的重要理由而言屬必要；或(viii)就確立、行使或抗辯合法申索而言屬於必要；或(ix)倘於股東登記冊進行過戶轉讓，就擬向公眾提供資料而言屬合法；或(x)就獲得控制人或處理人追求的合法利益而言屬必要。

倘於歐盟境外基於投資者的同意處理個人資料或轉移個人資料，資料主體有權隨時撤銷其同意而不影響於有關同意撤銷前開展的處理及／或資料轉移的合法性。倘同意遭撤銷，控制人將因此停止有關處理或轉移。資料主體如欲更改或撤銷其同意，可以書面方式按下文註明的地址，以資料保護主任為收件人，向管理公司的註冊辦事處提出。

在資料主體本身未提供個人資料的情況下（包括所提供的個人資料中包含其他資料主體的個人資料的情況），投資者聲明彼等有權提供其他資料主體的個人資料，並將對有關行事負責。倘投資者並非自然人，彼等確認已承諾(i)根據資料保護法的資料規定告知任何資料主體招股說明書所述對其個人資料的處理及彼等的相關權利（以及權利行使方式）及(ii)倘必要及合適，事先按照資料保護法的規定取得按招股說明書所述處理個人資料可能需要的任何同意。任何有關同意將以書面方式訂明。

現已採取多項措施以確保個人資料保密及安全。尤其是，由於個人資料以電子方式轉移及於盧森堡以外提供或保存，因此未必能夠保證與現時盧森堡生效的個人資料保密及資料保障保持於相同水平，基金及／或管理公司（在最大可能情況下）尋求確保代理人、受權代表及副受權代表（未必一定為標準人壽安本集團一部份）保持適當的資料保障及保密水平。投資者確認及同意標準人壽安本集團公司將彼等有關未經授權第三方取得個人資料的責任限制於適用法律准許的最高程度。

有關資料主體的身份及於基金所持股份及（如適用）、**FATCA** 及／或 **CRS** 的問題及要求必須予以回覆。投資者確認及同意未於其與基金維持關係的過程中提供管理公司及／或行政管理人要求的相關個人資料，可能導致不正確或雙重報告；可能阻礙投資者維持其於基金的股份；並可能報告至相關盧森堡當局。

投資者確認，基金、管理公司及／或行政管理人可能須收集有關投資者及其於基金的投資的任何相關資料（包括但不限於姓名及地址、出生日期及美國稅務識別編碼(TIN)、賬戶號碼、賬戶結餘）及向盧森堡稅務機關 (**Administration des contributions directes**) 報告，而盧森堡稅務機關將自動與美國或其他獲准許司法管轄區的主管當局（包括美國國稅局(IRS)或其他美國主管當局及歐洲經濟區以外的外國稅務機關）交換該資料，該等資料僅會用於經合組織及歐盟方面的 **FATCA** 及 **CRS** 或類似盧森堡法例規定的目的。

資料主體可按資料保護法訂明的方式及在其規定的限制下要求(i)獲取及更正或刪除有關彼等自身的任何不正確個人資料；(ii)限制或反對處理有關彼等自身的個人資料；及(iii)以有序、常用及機器可讀的格式收取有關彼等自身的個人資料或將該等個人資料傳輸至另一控制人；及(iv)取得或獲取於歐盟境外就轉移個人資料實施的適當或合適保護，例如標準合約條款、具約束力的公司規則、經批准的操守準則或經批准的證明機制。特別是，資料主體可隨時按要求反對處理有關彼等自身的個人資料用於營銷目的或用於基於控制人或處理人的合法利益而開展的任何其他處理。每個資料主體均應以資料保護主任為收件人向管理公司提出有關要求。

投資者有權透過向相關資料保護監督機關（於盧森堡為 *Commission Nationale pour la Protection des Données - www.cnpd.lu*）遞交投訴，處理與控制人就投資服務或遵守合規義務開展的個人資料處理有關的任何申索。

資料主體的個人資料將由控制人及處理人保留，直至投資者不再持有基金的股份，如需遵守適用法律法規或者是確立、行使或抗辯實際或潛在的合法主張，則將會於其後 10 年期間保留，惟須遵守適用的限制法例，除非適用法律法規要求保留更長時間。在任何情況下，個人資料不得持有超過就資料處理（投資服務及合規義務）而言屬必要的時間，惟須一直符合適用的法定最低保留期間。



閣下的董事會對本函件所載資料的準確性負責。據閣下的董事會所深知及確信（其已採取合理謹慎措施確保情況如此），本函件所載資料符合事實，且並無遺漏任何可能影響該等資料重要性的事實。

有關處理個人資料的額外資料，資料主體可透過郵寄（地址為35a, avenue John F. Kennedy, L-1855 Luxembourg）或電郵([ASI.DP.office@aberdeen-asset.com](mailto:ASI.DP.office@aberdeen-asset.com))聯絡控制人的資料保護主任，或聯絡安本標準投資管理（香港）有限公司，其辦事處地址為香港皇后大道中31號陸海通大廈30樓，電話：852 2103 4700。



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