



abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

Hong Kong Representative

香港代表

abrdn Hong Kong Limited
30th Floor, LHT Tower, 31 Queen's Road Central, Hong Kong
Tel: (852) 2103 4700

安本香港有限公司
香港中環皇后大道中31號陸海通大廈30樓
電話: (852) 2103 4700

Administration Agent

行政代理人

CACEIS Investor Services Bank S.A.
14 Porte de France L-4360 Esch-sur-Alzette,
Grand Duchy of Luxembourg
Fax: (352) 2460 4559

PERSONAL PARTICULARS

個人資料

Main Holder's Name (Dr/Mr/Ms/Mdm/Mrs)/Company Name (please underline surname)
主要持有人姓名 (博士/先生/小姐/女士/太太)/公司名稱 (請在姓氏下劃線)

Residential Address
住宅地址

Mailing Address (if
different from residential
address)
郵寄地址 (如與住宅地址
不同)

Existing Investor – A/C No:
現有投資者 – 賬戶號碼:

Email Address*
電郵地址*

Telephone No./Mobile
電話號碼/流動電話

HKID/Passport/
Business Reg. No.
香港身份證/護照/商業
登記證號碼

Date of Birth/Date of
Incorporation (DD/MM/
YY)
出生日期/註冊日期
(日/月/年)

Nationality/Place of
Incorporation
國籍/註冊地點

Occupation
職業

Country of Tax
Residency
稅務居民所在國家

Source of wealth
財富來源

Tax Reference No
稅務參考號碼

abrdn SICAV I – Investment Application Form – Part A
安本基金 - 投資申請表格 - 甲部

Joint Holder's Name (Dr/Mr/Ms/Mdm/Mrs) (please underline surname)
聯名持有人姓名 (博士/先生/小姐/女士/太太) (請在姓氏下劃線)

Residential Address (if different from main holder)
住宅地址 (如與主要持有人不同)

Telephone No./Mobile
電話號碼/流動電話

HKID/Passport
香港身份證/護照

Date of Birth (DD/MM/YY)
出生日期 (日/月/年)

Nationality
國籍

Occupation
職業

Country of Tax Residency
稅務居民所在國家

Source of wealth
財富來源

Tax Reference No
稅務參考號碼

Joint Holdings
聯名持有

☐

We confirm that any one of our signatures is sufficient for the Hong Kong representative to act on instructions pertaining to our joint holdings of shares. Both signatures are required to validate instructions unless otherwise indicated by ticking the box above.
吾等確認，其中一人簽署已足夠供香港代表就此聯名持有的股份按其指示行事。除非在以上空格內填「a」號，否則必須由兩人一同簽署以使指示有效。

PO Box address will not be accepted
不接受郵政信箱地址

* Please fill up our e-statement application form to receive electronic statement. Please note that the hard copy month end statement will be stopped once you chose e-statement service.
* 請填寫電子月結單申請表以收取電子月結單。如閣下選擇電子月結單服務，吾等便會停止郵寄月結單予閣下。

SUBSCRIPTION
認購

Name of Fund – share class* 基金名稱 – 股份類別*	ISIN 國際證券號碼	Investment amount (payment currency) 投資金額 (付款貨幣)

* If no share class is indicated, the investment will be in "A Acc USD" share class.
* 倘並無顯示任何股份類別，投資則屬於「A類累積 (美元)」股份。

☐

I / We enclose a crossed cheque/banker's draft drawn on a HKD account in Hong Kong for the amount shown and make payable to **abrdn SICAV I – Asia**.
本人/吾等隨附以香港港元賬戶付款的劃線支票/銀行本票，註明抬頭人為 **abrdn SICAV I – Asia**。

☐

I / We have made payment by telegraphic transfer in and enclose a copy of the Payment slip/remittance instruction.
本人/吾等以電匯形式付款，並隨附付款收條/匯款指示的副本。

2

abrdn SICAV I – Investment Application Form – Part A
安本基金 - 投資申請表格 - 甲部

CASH DIVIDENDS INSTRUCTION (IF APPLICABLE)
現金股息指示 (如適用)

Dividends will be reinvested automatically unless you completed the section below:
除非閣下填妥以下部份，否則股息將自動再作投資。

DIVIDENDS PAYMENT METHOD:
股息付款方式：

☐ By Telegraphic Transfer (Please provide details below).
以電匯形式支付 (請提供以下資料)。

Currency: 貨幣：

☐ HKD 港元

☐ USD 美元

☐ GBP 英鎊

☐ YEN 日圓

☐ EUR 歐元

☐ AUD 澳元

Bank Name 銀行名稱

SWIFT Code^ 銀行代碼^

Bank address 分行地址

Account Name 賬戶名稱

Account Number 賬戶號碼

Correspondent Bank 代理銀行

Correspondent Bank SWIFT Code^ 代理銀行代碼^

^ Please contact your bank for the SWIFT code
^ 請聯絡銀行以取得銀行代碼

DECLARATION
聲明

I/We confirm that I/we have received, read and understood the Hong Kong Supplement and Prospectus relating to abrdn SICAV I that this application is as described in the Hong Kong Supplement and Prospectus, and that it is governed by the notes found at the back of this application form. I/We agree to observe and be bound by the provisions of the Hong Kong Supplement and Prospectus of abrdn SICAV I. I/We hereby confirm that I/we do not require and have not received any recommendation or financial advice from abrdn Hong Kong Limited nor its employees with respect to the above transaction.
本人/吾等確認本人/吾等已收到、閱讀及明白有關安本基金的香港補充文件及招股說明書，而本項申請乃如香港補充文件及招股說明書所載，以及其受本申請表格背頁所載的附註所規限。本人/吾等同意遵照安本基金的香港補充文件及招股說明書的條款及受其約束。本人/吾等茲確認，本人/吾等毋須及並無收到安本香港有限公司或其僱員就上述交易作出的任何建議或財務意見。

I/We agree that my/our application to subscribe to the number of shares shown in this application form is made only on the basis of the terms contained in the Hong Kong Supplement and Prospectus for abrdn SICAV I, the most recent Annual Report and Accounts and the subsequent Interim Report and Accounts if published.
本人/吾等同意本人/吾等申請認購本申請表格所示的股份數目乃根據安本基金的香港補充文件及招股說明書所載的條款、最近期的年度報告及賬目，以及其後的中期報告及賬目 (如已刊發) 的基準而作出。

I/We agree to appoint abrdn Nominees Services HK Limited ("abrdn Nominees") as my/our nominee to hold my/our shares in abrdn SICAV I on my/our behalf in accordance with the Terms & Conditions, which a copy has been provided to me/us.
本人/吾等同意委任abrdn Nominees Services HK Limited ("abrdn Nominees") 為本人/吾等的代名人，按照條款及條件 (本人/吾等已獲提供其副本)，代表本人/吾等持有本人/吾等的安本基金股份。

I/We understand that abrdn Investments Luxembourg S.A. has appointed CACEIS Investor Services Bank S.A. ("CACEIS") as administration agent to provide administrative services to shareholders of abrdn SICAV I and CACEIS will be responsible for processing this application form. Ownership of Shares will be entered in abrdn SICAV I's register of shareholders in Luxembourg under the name of abrdn Nominees.
本人/吾等明白abrdn Investments Luxembourg S.A. 已委任 CACEIS Investor Services Bank S.A. ("CACEIS") 為行政代理人向安本基金的股東提供行政服務而本申請表格會由 CACEIS 負責處理。股份的擁有權將會以abrdn Nominees的名義載入安本基金在盧森堡的股東名冊內。

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

I/We confirm that the shares are not being acquired either directly or indirectly by or on behalf of any U.S. person** or by any other person restricted by the law of any relevant jurisdiction from acquiring the shares and that I/we will not sell, transfer or otherwise dispose of any such shares directly or indirectly, to or for the account of any U.S. person or in the United States of America, or to any person restricted by the law of the relevant jurisdiction from acquiring the shares.

本人/吾等確認股份並非直接或間接由或代任何美國人士**或任何有關司法管轄區的法律禁制購買該等股份的任何其他人士購買，且本人/吾等不會將任何該等股份直接或間接授予、轉讓予或以其他方式賣予任何美國人士（或在美國作出此舉）或有關司法管轄區的法律禁制購買該等股份的任何人士。

I/We warrant that in the case of payment by cheque it will be honoured on first presentation. I/We agree that the shares will be registered under the name(s) referred to above.

本人/吾等保證如以支票付款，則支票於首次過戶時即會兌現。本人/吾等同意股份將以上文所載的姓名登記。

I/We understand that all instructions relating to my/our investment, including redemption requests and changes to any standard instructions, must be in writing. I/We further understand that if I/We choose to give instructions by fax I/we do so at our own risk.

本人/吾等明白所有關於本人/吾等的投資的指示（包括贖回要求及對任何標準指示作出的更改）必須以書面作出。本人/吾等亦明白如本人/吾等選擇以傳真方式作出指示，則風險由本人/吾等自行承擔。

I/We understand that none of abrdn SICAV I, abrdn Nominees, or any of their agents (including the Hong Kong Representative and the administration agent) shall be under any obligation to verify the authenticity of any instructions sent by fax.

本人/吾等明白不論安本基金、abrdn Nominees或彼等任何代理人（包括香港代表及行政代理人）均無責任核實任何以傳真方式發出的指示的真確性。

I/We agree to hold harmless and indemnify abrdn SICAV I and abrdn Nominees, and/or their agents (including, without limitation, the Hong Kong Representative, the administration agent and the Depositary), in relation to any losses or expenses incurred, as a result of any of them acting pursuant to instructions given or purported to be given by me/us (including instructions sent by fax), save to the extent that such losses or expenses arise from the fraud, negligence, or wilful misconduct of abrdn SICAV I and/or its agents.

本人/吾等同意就安本基金及abrdn Nominees及/或彼等的代理人（包括但不限於香港代表、行政代理人及存管人）因當中任何一方按照由本人/吾等作出或聲稱由本人/吾等作出的指示（包括以傳真方式發出的指示）行事所招致的任何損失或支出，使彼等免受損失並對彼等作出彌償，但因安本基金及/或其代理人的欺詐行為、疏忽或故意不當行為所引致的損失或支出則除外。

** U.S. Person. A "United States person" for these purposes means a national or resident of the United States or any of its states, territories, possessions or areas, subject to its jurisdiction (the "United States") and any partnership, corporation or the entity organised or created under the laws of the United States or of any political subdivision thereof.

** 美國人士。「美國人士」就此而言是指美國或受其司法管轄權限制的任何州份、領土、屬地或地區（「美國」）的國民或居民及根據美國或其任何政治分部的法律組織或創立的任何合夥經營、公司或實體。

Please read and tick the applicable box to agree with one of the below statements.

請細閱以下聲明並在適當空格內填上「✓」號：

☐

I / We confirm that I am/we are the end Beneficial Owner of the shares subscribed.
本人/吾等確認本人/吾等為所認購股份的最終受益人。

☐

I / We confirm that I am/we are not the end Beneficial Owner of the shares subscribed and will provide/have provided all relevant identification documentation relating to the end Beneficial Owner upon request.
本人/吾等確認本人/吾等並非所認購股份的最終受益人，並將會/已經應要求提供所有有關最終受益人的身分識別文件。

PERSON INFORMATION COLLECTION STATEMENTS

收集個人資料聲明

abrdn SICAV I and its management company, abrdn Investments Luxembourg S.A., (the "Management Company"), collect, store on computer systems and process, by electronic or other means, information in relation to the Investors/Applicants and their representative(s) (such as legal representatives and authorised signatories), employees, directors, officers trustees, settlors, their shareholders/ unitholders, nominees and/or beneficial owner(s) (as applicable) (the "Data Subjects") which may qualify as personal data as defined by applicable data protection law (the "Personal Data") in connection with an application to subscribe for shares, or in the course of their investment in abrdn SICAV I. Personal Data including in particular details of the Investors' shareholding, will be stored in digital form and processed in compliance with applicable laws and regulations.

安本基金及其管理公司 abrdn Investments Luxembourg S.A.（「管理公司」）於股份認購申請內或於投資於安本基金的過程中以電子或其他方式收集、在電腦系統中儲存及處理有關投資者/申請人及其代表（例如法律代表及授權簽署人）、僱員、董事、高級職員、受託人、授予人、彼等的股東/單位持有人、代名人及/或實益擁有人（如適用）（「資料當事人」）的資料，可能符合適用資料保護法所界定的個人資料（即「個人資料」）。包括投資者持股量具體詳情的個人資料將以數碼形式儲存及遵守適用法律及法規處理。

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

Part I – Personal Information Collection Statement I部 – 個人資料收集聲明

Personal Data provided or collected in connection with an investment in abrdn SICAV I may be processed by abrdn SICAV I and the Management Company, as joint data controllers (the “Controllers”) and disclosed to, and processed by, the Hong Kong Representative, abrdn Nominees, abrdn Investments Limited, abrdn Inc., abrdn Australia Limited and abrdn Hong Kong Limited acting as investment managers, abrdn Japan Limited and abrdn Asia Limited acting as sub-investment Managers, or any other company within the abrdn Group; International Financial Data Services (Luxembourg) S.A., SS&C Financial Services Europe Limited, SS&C Financial Services International Limited, State Street Syntel Services (India), DST Worldwide Services (India), State Street Bank International (Poland branch), and International Financial Data Services (Ireland) as data processing agents; Citibank Europe plc., Luxembourg Branch acting as depositary and administrator; State Street Bank International GmbH, Luxembourg Branch acting as paying agent; any distributor or sub-distributor; KPMG Luxembourg, Société Coopérative acting as auditor, legal and financial advisers and other service providers of abrdn SICAV I (including its administrative support and information technology providers) and, any of the foregoing respective agents, delegates, affiliates, subcontractors and/or their successors and assigns, acting as data processor on behalf of abrdn SICAV I (the “Processors”). The Processors may act as data processors on behalf of the Controllers or, in certain circumstances, as data controllers, in particular for compliance with their legal obligations in accordance with applicable laws and regulations (such as anti-money laundering identification) and/or order of competent jurisdiction.

就投資於安本基金獲得或收集的個人資料可由安本基金及管理公司作為共同的數據控制人(即「控制人」)處理,並可披露予及由就投資於安本基金獲得或收集的個人資料香港代表、abrdn Nominees、作為投資經理的abrdn Investments Limited、abrdn Inc.、abrdn Australia Limited及安本香港有限公司、作為副投資經理的abrdn Japan Limited、abrdn Investments Limited的任何其他公司;International Financial Data Services (Luxembourg) S.A., SS&C Financial Services Europe Limited, SS&C Financial Services International Limited, State Street Syntel Services (印度), DST Worldwide Services (印度), State Street Bank International (Poland branch), 及 International Financial Data Services (Ireland) 作為數據處理代理;作為存管人及行政管理人的Citibank Europe plc., Luxembourg Branch; 作為付款代理人的State Street Bank International GmbH, Luxembourg Branch; 任何經銷人或副經銷人; 作為核數師的KPMG Luxembourg, Société Coopérative、安本基金的律師及財務顧問及其他服務供應商(包括其行政支援及資訊科技供應商)及任何上述各方各自的代理人、受權代表、聯屬人士、分包商及/或其承繼人及繼讓人代表安本基金作為資料處理人(即「處理人」)處理。處理人可作為代表控制人的資料處理人或在若干情況下作為資料控制人,尤其是根據適用法律法規(例如防止洗黑錢身份證明)及/或具有管轄權的命令遵守其法律責任。

Controllers and Processors shall process Personal Data in accordance with all applicable data protection law, including the Regulation (EU) 2016/679 of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (the “General Data Protection Regulation” or “GDPR”), as well as any applicable law or regulation relating to the protection of personal data (together the “Data Protection Law”). 控制人及處理人應根據所有適用的資料保障法,包括2016年4月27日頒布有關處理個人資料時對自然人的保護及有關個人資料自由流動的2016/679號歐盟規例(廢止95/46/EC指引)「(一般資料保護法規)或「GDPR)以及任何有關保護個人資料的適用法律或法規(統稱為「個人資料保護法」)處理個人資料。

Further (updated) information relating to the processing of Personal Data of Data Subjects may be provided or made available, on an ongoing basis, through various channels, including electronic communication means, such as email, websites, portals or platforms, as deemed appropriate to allow the Controllers and/or Processors to comply with their obligations of information according to Data Protection Law. 有關處理資料當事人的個人資料的進一步(經更新)資料可能持續透過多種被視為適當的渠道提供或發佈,包括電子通訊途徑,例如電郵、網站、入門網站或平台,使控制人及/或處理人根據資料保護法遵守其有關資料的責任。

Personal Data may include, without limitation, the name, address, telephone number, business contact information, employment and job history, financial and credit history information, current and historic investments, investment preferences and invested amount of Data Subjects and any other information that is necessary to the Controllers and Processors for the purposes described below. Personal Data is collected directly from Data Subjects or may be collected through publicly accessible sources, subscription services, or other third party data sources.

個人資料可包括(但不限於)資料當事人的姓名、地址、電話號碼、商業聯絡資料、受僱及工作情況、財務及信貸記錄資料、當前及過往投資、投資偏好及投資金額以及控制人及處理人達成下述目的所必需的任何其他資料。個人資料直接向資料當事人收集,或可透過公開來源、訂閱服務或其他第三方資料來源收集。

Personal Data will be processed by the Controllers and the Processors for the following purposes: (i) to offer investment in shares and to perform the related services as contemplated in the Prospectus of abrdn SICAV I and this Application Form such as but not limited to the opening of shareholder accounts, the management and administration of shares, including the processing of subscriptions and redemptions or transfer of shares, maintaining a customer relationship data base, payments to Investors, updating and maintaining records and fee calculation, maintaining the register of shareholders, Investor communications, (ii) to offer other related services resulting from any agreement entered into between Controllers and a service provider that is communicated or made available to the Investors/Applicants (together referred to as the “Investment Services”).

控制人及處理人將處理個人資料作下列用途:(i)要約股份投資及履行安本基金的招股說明書及本申請表格中訂明的相關服務,例如(但不限於)開立股東賬戶、股份管理及行政管理(包括處理股份認購及贖回或轉讓)、維持客戶關係數據庫、向投資者付款、更新及維持記錄及計算費用、維持股東登記冊、投資者通訊,(ii)提供因控制人及服務供應商訂立並向投資者/申請人傳達或提供的其他協議而產生的其他相關服務(統稱為「投資服務」)。

Personal Data will also be processed by the Controllers and Processors to pursue their own legitimate business interests or to comply with applicable legal and regulatory obligations or to carry out any other form of cooperation with, or reporting to, public authorities. This includes, but is not limited to, legal obligations under applicable fund and company law (such as maintaining the register of shareholders and recording orders), prevention of terrorism law, anti-money laundering law and counter terrorist financing (AML-CTF) (such as carrying out customer due diligence including notably screening against official sanctions lists), prevention and detection of crime, and tax law (such as reporting under the FATCA Law and the CRS Law (as defined in the section “Taxation”). It also includes any other tax identification legislation to prevent tax evasion and fraud as applicable, and to prevent fraud, bribery, corruption and the provision of financial and other services to persons subject to economic or trade sanctions on an on-going basis in accordance with the AML-CTF procedures of the Controllers and Processors, as well as to retain AML-CTF and other records of the Data Subjects for the purpose of screening by the Controllers and Processors (the “Compliance Obligations”). 控制人及處理人亦將處理個人資料,以實現其自身的合法商業利益或遵守適用的法律及法規責任或與公共機關開展任何其他形式的合作或向公共機關報告。這包括但不限於適用基金及公司法(例如維持股東登記冊及記錄指令)、防止恐怖主義法律、防止洗黑錢及打擊恐怖活動融資法律(AML-CTF)(例如開展客戶盡職調查,尤其包括按照官方制裁名單篩選)、防止及發現犯罪及稅務法律(例如FATCA法及CRS法項下的報告)(定義見「稅項」一節)項下的法律義務。這亦包括任何其他防止逃稅及欺詐的適用稅務身份證明法例,以及控制人及處理人根據AML-CTF程序持續防止欺詐、賄賂、貪腐及向受到經濟或貿易制裁的人士提供金融及其他服務,以及控制人及處理人保留資料當事人的AML-CTF及其他記錄,以進行篩選「(合規義務)」。

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

Controllers and Processors will collect, use, store, retain, transfer and/or otherwise process Personal Data: (i) as a result of the subscription of Applicants to the Application Form where necessary to perform the Investment Services or to take steps at the request of Applicants prior to such subscription, including as a result of the holding of shares in general and/or; (ii) where necessary to comply with a legal or regulatory obligation of Controllers or Processors and/or; (iii) where necessary for the performance of a task carried out in the public interest and/or (iv) in the event the Application Form is not entered into directly by the concerned Data Subject, Personal Data may be processed for the purposes of the legitimate interests pursued by Controllers or by Processors, which mainly consist in the performance of the Investment Services, or direct or indirect marketing activities, or compliance with the Compliance Obligations regulations and/or any order of a foreign court, government, supervisory, regulatory or tax authority, including when providing such Investment Services to any beneficial owner and any person holding shares directly or indirectly in abrdn SICAV I and/or (v) where applicable under certain specific circumstances, on the basis of Investors' consent (which may be withdrawn at any time without affecting the lawfulness of processing based on such consent before its withdrawal).

控制人及處理人將在下列情況下收集、使用、儲存、保留、轉移及/或以其他方式處理個人資料：(i) 就因投資者於申請表格中認購而履行投資服務或在有關認購前按投資者的要求採取措施 (包括一般因持有股份而必須採取的措施) 而言屬必要；及/或(ii) 就遵守控制人或處理人的法律或法規責任而言屬必要；及/或(iii) 就開展符合公眾利益的工作而言屬必要；及/或(iv) 倘相關資料當事人並無直接簽立申請表格，可處理有關個人資料用於控制人或處理人追求的合法利益，主要包括履行投資服務、開展直接或間接的營銷活動或遵守合規義務法規及/或任何外國法院、政府、監督、監管或稅務機關的任何命令，包括向任何實益擁有人及直接或間接持有安本基金股份的任何人士提供有關投資服務；及/或(v) 倘適用，於若干特定情況下，基於投資者同意 (可隨時撤銷而不影響在有關同意被撤銷之前基於該同意作出處理的合法性)。

Data may be disclosed to and/or transferred to and otherwise accessed or processed by Processors and/or target entities and/or other funds or related entities (including without limitation their respective general partner or management company/investment manager and service providers) in or through which abrdn SICAV I intends to invest, as well as any court, governmental or regulatory bodies including tax authorities in Luxembourg or in various jurisdictions, in particular those jurisdictions where (i) abrdn SICAV I is or is seeking to be registered for public or limited offering of its shares, (ii) Investors are resident, domiciled or citizens or (iii) abrdn SICAV I is, or is seeking to, be registered, licensed or authorised to invest for carrying out the Investment Services and to comply with the Compliance Obligations (the "Authorised Recipients"). The Authorised Recipients may act as data processor on behalf of Controllers or, in certain circumstances, as data controller for pursuing their own purposes, in particular for performing their services or for compliance with their legal obligations in accordance with applicable laws and regulations and/or order of court, government or regulatory body, including tax authority.

個人資料可披露予及/或轉讓予處理人及/或目標實體及/或安本基金擬投資或作出投資所借助的其他基金或相關實體 (包括但不限於彼等各自的普通合夥人 or 管理公司/投資經理及服務供應商) 以及任何法院、政府或監管機構，包括盧森堡或各司法管轄區的稅務機關，尤其是(i) 安本基金已經登記或正尋求登記以令其股份上市或作有限發售，(ii) 投資者居住、定居或身為公民或(iii) 安本基金已經或正尋求進行投資登記、獲得許可或授權以開展投資服務及遵守合規義務的司法管轄區 (「獲授權接收人」) 及由上述人士以其他方式獲取或處理。獲授權接收人可作為代表控制人的資料處理人或若干情況下作為資料控制人以實現其自身的目的，特別是履行其服務或根據適用法律法規及/或法院、政府或監管機構 (包括稅務機關) 的命令遵守其法律責任。

Controllers undertake not to transfer Personal Data to any third parties other than the Authorised Recipients, except as disclosed to Investors from time to time or if required or permitted by applicable laws and regulations, including Data Protection Law, or by any order from a court, governmental, supervisory or regulatory body, including tax authorities.

控制人承諾不會向獲授權接收人以外的任何第三方轉移個人資料，除不時向投資者披露或適用法律及法規 (包括資料保護法) 或法院、政府、監督或監管機構 (包括稅務機關) 的命令要求或准許的情況外。

By subscribing or purchasing shares of abrdn SICAV I, Investors acknowledge and accept that Personal Data will be processed for the purpose of the Investment Services and Compliance Obligations described above and in particular, that the disclosure and the transfer of their Personal Data may take place to the Authorised Recipients, including the Processors, which are located in countries outside of the country in which Data Subjects are located, including countries outside the European Union (including but not limited to the United States, Hong Kong, Singapore, and India), which are not subject to an adequacy decision of the European Commission and whose legislation does not ensure an adequate level of protection as regards the processing of personal data.

一經認購或購買安本基金的股份，投資者確認及接納個人資料將用於上述投資服務及合規義務，特別是可能向獲授權接收人披露及轉移其個人資料，包括位於資料當事人所在國家 (包括歐盟以外國家 (包括但不限於美國、香港、新加坡及印度)) 的處理人，該等國家不受歐洲委員會的充分性決定規限，且其法例不保證就處理個人資料提供充足的保護。

Controllers may only transfer Personal Data for the purposes of performing the Investment Services or of complying with the Compliance Obligations.

控制人僅可因履行投資服務或遵守合規義務的目的而轉移個人資料。

Controllers will transfer Personal Data to the Authorised Recipients outside the European Union (i) on the basis of an adequacy decision of the European Commission with respect to the protection of personal data and/or on the basis of the EU-U.S. Privacy Shield framework or, (ii) on the basis of appropriate safeguards according to Data Protection Law, such as standard contractual clauses, binding corporate rules, an approved code of conduct, or an approved certification mechanism or, (iii) in the event it is required by any judgment of a court or tribunal or any decision of an administrative authority, on the basis of an international agreement entered into between the European Union or a concerned Member State and other jurisdictions worldwide or, (iv) where applicable under certain specific circumstances, on the basis of the Investor's explicit consent or, (v) where necessary for the performance of the Investment Services or for the implementation of pre-contractual measures taken at the Shareholders' request or, (vi) where necessary for the Processors to perform their services rendered in connection with the Investment Services which are in the interest of the Data Subjects or, (vii) where necessary for important reasons of public interest or, (viii) where necessary for the establishment, exercise or defence of legal claims or, (ix) where the transfer is made from a register, which is legally intended to provide information to the public or, (x) where necessary for the purposes of compelling legitimate interests pursued by the Controllers or the Processors, to the extent permitted by Data Protection Law.

在資料保護法允許的範圍內，控制人將於下列情況下向歐盟境外的獲授權接收人轉移個人資料：(i) 基於歐洲委員會有關保護個人資料的充分性決定及/或基於歐美數據私隱護盾條約；或(ii) 基於資料保護法的合適保護措施，例如標準合約條款、具約束力的公司規則、經批准的操守準則或經批准的證明機制；或(iii) 倘任何法院或審裁機構的任何判決或行政機關的任何決定要求，基於歐盟或相關成員國與世界其他司法管轄區訂立的國際協議；或(iv) 倘適用，於若干特定情況下，基於投資者的明確同意；或(v) 倘就履行投資服務或實施股東要求採取的合約前措施而言屬必要；或(vi) 倘就處理人履行其就投資服務而提供的服務而言屬必要，且有關服務符合資料當事人的利益；或(vii) 倘因公眾利益的重要理由而言屬必要；或(viii) 就確立、行使或抗辯合法申索而言屬於必要；或(ix) 倘於股東登記冊進行過戶轉讓，就擬向公眾提供資料而言屬合法；或(x) 就獲得控制人或處理人追求的合法利益而言屬必要。

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

In the event the processing of Personal Data or transfer of Personal Data outside of the European Union take place on the basis of the consent of the Investors, Data Subjects are entitled to withdraw their consent at any time without prejudice to the lawfulness of the processing and/or data transfers carried out before the withdrawal of such consent. In case of withdrawal of consent, Controllers will accordingly cease such processing or transfers. Any change to, or withdrawal of, Data Subjects' consent can be communicated in writing to the Management Company at its registered office to the attention of the Data Protection Officer at the addresses indicated below.

倘於歐盟境外基於投資者的同意處理個人資料或轉移個人資料，資料當事人有權隨時撤銷其同意而不影響於有關同意撤銷前開展的處理及/或資料轉移的合法性。倘同意遭撤銷，控制人將因此停止有關處理或轉移。資料當事人如欲更改或撤銷其同意，可以書面方式按下文註明的地址，以資料保護主任為收件人，向管理公司的註冊辦事處提出。

Insofar as Personal Data is not provided by the Data Subjects themselves (including where Personal Data provided includes Personal Data concerning other Data Subjects), Investors represent that they have authority to provide Personal Data of other Data Subjects and will remain responsible if they do so. If Investors are not natural persons, they confirm that they have undertaken to (i) inform any Data Subject about the processing of their Personal Data and their related rights (as well as how to exercise them) as described in this Prospectus, in accordance with the information requirements under the Data Protection Law and (ii) where necessary and appropriate, obtained in advance any consent that may be required for the processing of Personal Data as described in the Prospectus of abrdn SICAV I in accordance with the requirement of Data Protection Law. Any such consent will be documented in writing.

在資料當事人本身未提供個人資料的情況下（包括所獲得的個人資料中包含其他資料當事人的個人資料的情況），投資者聲明彼等有權提供其他資料當事人的個人資料，並將對有關行事負責。倘投資者並非自然人，彼等確認已承諾(i)根據資料保護法的資料規定告知任何資料當事人本招股說明書所述對其個人資料的處理及彼等的相關權利（以及權利行使方式）及(ii)倘必要及合適，事先按照資料保護法的規定取得按安本基金的招股說明書所述處理個人資料可能需要的任何同意。任何有關同意將以書面方式訂明。

Measures are taken to ensure confidentiality and security of the Personal Data. In particular, where the same level of confidentiality and data protection in relation to personal data as is currently in force in Luxembourg may not be guaranteed as the Personal Data is transferred electronically and made available or kept outside of Luxembourg, abrdn SICAV I and/or the Management Company, so far as is possible, seek to ensure that the agents, delegates and sub-delegates, who may or may not be part of the abrdn Group, maintain appropriate data protection and confidentiality standards. Applicants acknowledge and agree that the abrdn Group companies limit their liability to the maximum extent permitted under applicable law in respect of Personal Data being obtained by unauthorised third parties.

現已採取多項措施以確保個人資料保密及安全。尤其是，由於個人資料以電子方式轉移及於盧森堡以外提供或保存，因此未必能夠得到保證與現時盧森堡生效的個人資料保密及資料保障保持於相同水平，安本基金及/或管理公司（在最大可能情況下）尋求確保代理人、受權代表及副受權代表（未必一定為安本集團一部份）保持適當的資料保障及保密水平。申請人確認及同意安本集團公司將彼等有關於未經授權第三方取得個人資料的責任限制於適用法律准許的最高程度。

It is mandatory to answer questions and requests with respect to Data Subjects' identification and shares held in abrdn SICAV I and, as applicable, FATCA and/or CRS. Investors acknowledge and accept that failure to provide relevant personal data requested by the Management Company and/or the Administrator in the course of their relationship with abrdn SICAV I may result in incorrect or double reporting; may prevent investors from maintaining their shares in abrdn SICAV I; and may be reported to the relevant Luxembourg authorities. 有關資料當事人的身份及所持安本基金的股份及（如適用）FATCA 及/或CRS的問題及要求必須予以回覆。投資者明白及同意未能於其與安本基金維持關係的過程中提供管理公司及/或行政管理人要求的相關個人資料，可能導致不正確或雙重報告；可能阻礙投資者維持其於安本基金的股份；並可能報告至相關盧森堡當局。

Investors acknowledge that abrdn SICAV I, the Management Company and/or the administrator may be obliged to collect and report any relevant information in relation to investors and their investments in abrdn SICAV I (including but not limited to name and address, date of birth and U.S. tax identification number (TIN), account number, balance on account) to the Luxembourg tax authorities (Administration des contributions directes) which will exchange this information on an automatic basis with the competent authorities in the United States or other permitted jurisdictions (including the U.S. Internal Revenue Service (IRS) or other US competent authority and foreign tax authorities located outside the European Economic Area) only for the purposes provided for in the FATCA, and CRS, at OECD and EU levels or equivalent Luxembourg legislation. 投資者確認，安本基金、管理公司及/或行政管理人可能須收集有關投資者及其於安本基金的投資的任何相關資料（包括但不限於姓名及地址、出生日期及美國稅務識別編碼（TIN）、賬戶號碼、賬戶結餘）及向盧森堡稅務機關（Administration des contributions directes）報告，而盧森堡稅務機關將自動與美國或其他獲准許可司法管轄區的主管當局（包括美國國稅局（IRS）或其他美國主管當局及歐洲經濟區以外的外國稅務機關）交換該資料，該等資料僅會用於經合組織及歐盟方面的 FATCA 及 CRS 或類似盧森堡法例規定的目的。

Data Subjects may request, in the manner and subject to the limitations prescribed in accordance with Data Protection Law, (i) access to and rectification or deletion of, any incorrect Personal Data concerning themselves, (ii) a restriction or objection of processing of Personal Data concerning themselves and, (iii) to receive Personal Data concerning themselves in a structured, commonly used and machine readable format or to transmit those Personal Data to another controller and, (iv) to obtain a copy of, or access to, the appropriate or suitable safeguards, such as standard contractual clauses, binding corporate rules, an approved code of conduct, or an approved certification mechanism, which have been implemented for transferring the Personal Data outside of the European Union. In particular, Data Subjects may at any time object, on request, to the processing of Personal Data concerning themselves for marketing purposes or for any other processing carried out on the basis of the legitimate interests of Controllers or Processors. Each Data Subject should address such requests to the Management Company to the attention of the Data Protection Officer. For any additional information related to the processing of their Personal Data, Data Subjects can contact the Data Protection Officer of Controllers via post mail at 35a, avenue John F. Kennedy, L-1855 Luxembourg or via email at ASIDP.office@abrdn.com or at the address of the Hong Kong Representative office which is stated at the beginning of this application form.

資料當事人可按資料保護法訂明的方式及在其規定的限制下要求(i)獲取及更正或刪除有關彼等自身的任何不正確個人資料；(ii)限制或反對處理有關彼等自身的個人資料；及(iii)以有序、常用及機器可讀的格式收取有關彼等自身的個人資料或將該等個人資料傳輸至另一控制人；及(iv)取得或獲取於歐盟境外就轉移個人資料實施的適當或合適保護，例如標準合約條款、具約束力的公司規則、經批准的操守準則或經批准的證明機制。特別是，資料當事人可隨時按要求反對處理有關彼等自身的個人資料用於營銷目的或用於基於控制人或處理人的合法利益而開展的任何其他處理。每個資料當事人均應以資料保護主任為收件人向管理公司提出有關要求。有關處理個人資料的額外資料，資料當事人可透過郵寄（地址為35a, avenue John F. Kennedy, L-1855 Luxembourg）或電郵（ASIDP.office@abrdn.com）或郵寄至香港代表的辦事處地址（載於本表格首頁）聯絡控制人的資料保護主任。

Investors are entitled to address any claim relating to the processing of their Personal Data carried out by Controllers in relation with the Investment Services or compliance with the Compliance Obligations by lodging a complaint with the relevant data protection supervisory authority. 投資者有權透過向相關資料保護監督機關遞交投訴，處理與控制人就投資服務或遵守合規義務開展的個人資料處理有關的任何申索。

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

Personal Data of Data Subjects will be retained by the Controllers and Processors until Investors cease to have shares in abrdn SICAV I and a subsequent period of 10 years thereafter where necessary to comply with applicable laws and regulations or to establish, exercise or defend actual or potential legal claims, subject to the applicable statutes of limitation, unless a longer period is required by applicable laws and regulations. In any case, Personal Data shall not be held for longer than necessary with regard to the purpose of the data processing (Investment Services and Compliance Obligations), subject always to applicable legal minimum retention periods.

資料當事人的個人資料將由控制人及處理人保留，直至投資者不再持有安本基金的股份，如需遵守適用法律法規或者是確立、行使或抗辯實際或潛在的合法主張，則將會於其後10年期間保留，惟須遵守適用的限制法例，除非適用法律法規要求保留更長時間。在任何情況下，個人資料不得持有超過就資料處理（投資服務及合規義務）而言屬必要的時間，惟須一直符合適用的法定最少保留期。

I/We acknowledge the information disclosed above.

本人/吾等確認上文披露的資料。

Part II – Use of Personal Data for Direct Marketing

II 部 – 使用個人資料作直接促銷

Subject to your consent provided below, which may be withheld, Personal Data may also be processed for the purpose of direct marketing activities (by electronic communications means such as email, SMS or internet, or otherwise, including post), notably to provide Data Subjects with general or personalised information about similar investment opportunities, products and services proposed by or on behalf of the abrdn SICAV I and its affiliates within the abrdn Group.

在閣下於下文給予同意（可拒絕同意）的情況下，個人資料亦可為直接促銷活動目的予以處理（透過電子通訊方式如電郵、短訊或互聯網或其他途徑，包括郵寄），特別是向資料當事人提供安本基金及安本集團旗下聯屬公司所建議或代表彼等提出的類似投資機會、產品及服務的一般或個人化資料。

Please indicate your consent by ticking the box below:

請勾選以下空格表示閣下同意：

☐

I/We consent to the processing of my/our Personal Data for the purpose of direct marketing activities by abrdn SICAV I in the manner as described in this Part II.

本人/吾等同意以本II部所述的方式處理本人/吾等的個人資料作安本基金進行直接促銷活動之用途。

You may request that we cease to use Personal Data for direct marketing or cease to provide Personal Data to other parties for direct marketing by writing to the Data Protection Officer, at the Hong Kong Representative's office address which is stated at the beginning of this application form, or by emailing the Data Protection Officer at ASL.DP.office@abrdn.com.

閣下可透過致函（地址為載於本申請表格首頁的香港代表辦事處地址）或電郵（ASL.DP.office@abrdn.com）資料保護主任，以要求吾等停止使用個人資料作直接促銷用途或停止向他人提供個人資料作直接促銷用途。

Main Holder's
signature
主要持有人簽署



Date (dd/
mm/yyyy)
日期
(日/月/年)

Joint Holder's Signature
(if applicable)
聯名持有人簽署
(如適用)



Date (dd/
mm/yyyy)
日期
(日/月/年)

FOR AGENT/OFFICE USE ONLY

代理人/辦事處專用

Agent Name
代理人名稱

Attended by
經手人

Initial Sales Charge
首次認購費

Discount
折扣

Authorised Signature
& Agent Stamp
授權人簽署及
代理人印章



Date (dd/
mm/yyyy)
日期
(日/月/年)

abrdn SICAV I – Investment Application Form – Part A

安本基金 – 投資申請表格 – 甲部

Please read the Hong Kong Supplement and Prospectus and the following before completing the application form.
請在填寫申請表格前細閱香港補充文件及招股說明書及以下各項。

If we [the Hong Kong representative] solicits the sale of or recommends any financial product to you [the client], the financial product must be reasonably suitable for you having regard to your financial situation, investment experience and investment objectives. No other provision of this agreement or any other document we may ask you to sign and no statement we may ask you to make derogates from this clause.
Note: "Financial product" means any securities, futures contracts or leveraged foreign exchange contracts as defined under the SFO. Regarding "leveraged foreign exchange contracts", it is only applicable to those traded by persons licensed for Type 3 regulated activity.
假如吾等 [香港代表] 向閣下 [客戶] 招攬銷售或建議任何金融產品，該金融產品必須是吾等考慮閣下的財政狀況、投資經驗及投資目標後而認為合理地適合閣下的。本協議的其他條文或任何其他吾等可能要求閣下簽署的文件及吾等可能要求閣下作出的聲明概不會減損本條款的效力。
註："金融產品"指《證券及期貨條例》所界定的任何證券、期貨合約或槓桿式外匯交易合約。就"槓桿式外匯交易合約"而言，其只適用於由獲得發牌經營第3類受規管活動的人所買賣的該等槓桿式外匯交易合約。

Application for investment 投資的申請

- For lump sum investments – please refer to the minimum investment as prescribed in the Prospectus.
如屬一筆過投資–請參閱招股說明書所訂明的最低投資額。
- For joint investors, you can instruct the Hong Kong Representative and/or its administration agent to accept either i) joint signatures or ii) alternate signatures. We will not accept instructions from an alternate signatory who is below 18 years old.
如屬聯名投資者，閣下可指示香港代表及/或其行政代理人接受 i) 聯名簽署 或 ii) 其中一方簽署。吾等不會接受由未滿18歲的其中一方簽署人所給予的指示。
- Applications received before 5:00 p.m. (Hong Kong time) on a normal business day, if accepted, will be transacted at that day's net asset value per share. Application received after 5:00 p.m. (Hong Kong time) will be transacted the following business day.
於正常營業日下午5時正 (香港時間) 前收到的申請如獲接受，將以該日的每股資產淨值進行交易。於下午5時正 (香港時間) 後收到的申請將於下一個工作天處理。
- Applications from corporate investors must only be signed by the authorised signatories named at the time of application for purchase of shares.
公司投資者的申請必須經由在申請購買股份時點名的授權簽署人簽署。
- Please include a certified true copy of your Hong Kong Permanent identity card/passport and the address proof including residential address and mailing address (if different from residential address) (e.g. utility bill issued within the last 3 months) with your payment and application form. Application forms signed by joint investors must be accompanied by a certified true copy of the second applicant's Hong Kong Permanent identity card or passport and residential address proof. All documents should be certified by a Banker, Lawyer, Practising certified public accountant, abrdn authorized agents or a Notary Public.
請將 閣下的香港永久性身份證/護照及住址證明包括住宅地址及郵寄地址 (如與住宅地址不同) (例如最近三個月內發出的公共設施賬單) 之核實副本，連同 閣下的付款及申請表格一併附上。經聯名投資者簽署的申請表格必須附上第二位申請人的香港永久性身份證或護照及住宅地址證明之核實副本。所有文件應經銀行、律師、執業會計師、安本授權代理人或法律公證人簽署核證。
- Payment method – you can pay by telegraphic transfer ("TT") made payment to the respective accounts listed below. You cannot pay in cash.
付款方法 – 電匯 ("TT") 至下列各賬戶。閣下不可以現金付款。

USD 美元

Beneficiary Bank 受款銀行：
Bank of America N.A. New York Branch
(SWIFT:BOFAUS3N)
A/C Name 賬戶名稱: abrdn SICAV I – Asia
A/C No 賬戶號碼: 6550-7-68006
CHIPS: 0959
ABA: 026009593

AUD 澳元

Beneficiary Bank 受款銀行：
Bank of America N.A. Sydney Branch
(SWIFT:BOFAAUSX)
A/C Name 賬戶名稱: abrdn SICAV I – Asia
A/C No 賬戶號碼: 14511024
BSB: 232-001

JPY 日圓

Beneficiary Bank 受款銀行：
Bank of America N.A. Tokyo Branch
(SWIFT:BOFAJPJX)
A/C Name 賬戶名稱: abrdn SICAV I – Asia
A/C No 賬戶號碼: 21714-020

EUR 歐元

Beneficiary Bank 受款銀行：
Bank of America N.A. Frankfurt Branch
(SWIFT:BOFADEFX)
A/C Name 賬戶名稱: abrdn SICAV I – Asia
A/C No 賬戶號碼: 18879014
IBAN 國際銀行賬戶號碼: DE19 5001 0900
0018 8790 14
Bank Code 銀行代碼: 50010900

HKD 港元

Beneficiary Bank 受款銀行：
Bank of America N.A. Hong Kong Branch
(SWIFT:BOFAHKHX)
A/C Name 賬戶名稱: abrdn SICAV I – Asia
A/C No 賬戶號碼: 82893020
Bank Sort Code 銀行分行代碼: 055757

GBP 英鎊

Beneficiary Bank 受款銀行：
Bank of America N.A. London Branch
(SWIFT:BOFAGB22)
A/C Name 賬戶名稱: abrdn SICAV I – Asia
A/C No 賬戶號碼: 29223013
IBAN 國際銀行賬戶號碼: GB03 BOFA 1650
5029 2230 13
Bank Code 銀行代碼: BACS – 301635 /
CHAPS – 165050

RMB 人民幣

Beneficiary Bank 受款銀行：
Bank of America, N.A., Hong Kong Branch
(SWIFT:BOFAHKHX)
A/C Name 賬戶名稱: abrdn SICAV I – Asia
A/C No 賬戶號碼: 82893046
Bank Sort Code 銀行分行代碼: 055757
CNAP Code: 989584005500

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

7. The Hong Kong Representative and/or its administration agent shall have the right to recover from you any loss incurred by the Hong Kong Representative due to the non-availability or insufficiency of funds in your bank account.
香港代表及/或其行政代理人有權向閣下追討香港代表因閣下的銀行戶口未能動用款項或存款不足而招致的任何損失。
8. The Hong Kong Representative and/or its administration agent reserve the right to allot fewer shares than the number applied for, or to refuse any application without assigning any reason.
香港代表及/或其行政代理人保留權利，可配發較申請數目為少的股份或拒絕任何申請，而毋須給予任何理由。
9. Applications cannot be accepted from minors. Applicants must be 18 years or over.
恕不接受未成年人士申請。申請人必須年滿18歲。
10. Applications may not be accepted from or on behalf of a United States Person (as defined in the Prospectus).
美國人士（按招股說明書所定義）或代表美國人士提出的申請可能不獲接受。

Redemption 贖回

11. You can redeem your shares in any Fund(s) fully or partially. Where you redeem some, but not all of your shares in any Fund, you must maintain the equivalent of USD500 asset value in any Fund at any time (unless in respect of share-classes intended for institutional investors a higher minimum holding applies as prescribed in the Prospectus); otherwise, the abrdn SICAV I shall be entitled to require you to redeem all your shares in any Fund with holdings of less than the minimum holding specified in the Prospectus from time to time.
閣下可贖回閣下在任何基金的全部或部份股份。倘若閣下贖回閣下在任何基金的部份但非全部股份，閣下必須於任何時候在任何基金維持相當於500美元的資產價值（但對於為機構投資者而設的股份類別而言，以招股說明書所訂明的較高最低持股量為準）；如閣下在任何基金的持股少於招股說明書不時所訂明的最低持股量，安本基金有權要求贖回閣下在該基金的所有股份。
12. Applications received before 5:00p.m. (Hong Kong time) on a normal business day, if accepted, will be transacted at that day's net asset value per share. Applications received after 5:00p.m. (Hong Kong time) will be transacted the following day.
於正常營業日下午5時正（香港時間）前收到的申請如獲接受，將以該日的每股資產淨值進行交易。於下午5時正（香港時間）後收到的申請將於翌日進行交易。
13. Redemption proceeds shall be paid within 3 business days or as prescribed by the Hong Kong Supplement and Prospectus upon receipt and acceptance of the original redemptions form by the Hong Kong Representative and/or its administration agent.
贖回款項應在香港代表及/或其行政代理人收到及接受贖回表格正本後三個營業日或香港補充文件及招股說明書所訂明的期間內支付。
14. Applications from corporate investors must only be signed by the authorised signatories named at the time of application for purchase of shares.
公司投資者的申請必須經由在申請購買股份時點名的授權簽署人簽署。

Switching 轉換

15. You may switch shares in any Fund(s) to another Fund(s), unless switches are restricted or prohibited in respect of specific sub-funds as prescribed in the Hong Kong Supplement and Prospectus. Switching shall only be allowed upon receipt of cleared funds by the Hong Kong Representative and/or its administration agent. Where you switch some, but not all, of your shares in any Fund to another Fund, you must maintain the equivalent of USD500 asset value in any Fund at any time (unless in respect of share-classes intended for institutional investors a higher minimum holding applies as prescribed in the Prospectus); otherwise, the abrdn SICAV I shall be entitled to require you to redeem all your shares in any Fund with holdings of less than the minimum holding specified in the Prospectus from time to time.
閣下可將在任何基金的股份轉換至另一基金，除非香港補充文件及招股說明書訂明限制或禁止轉換的特定子基金。轉換須在香港代表及/或其行政代理人收到已結算的款項後方獲准進行。倘若閣下將閣下在任何基金的部份但非全部股份轉換至另一基金，閣下必須於任何時候在任何基金維持相當於500美元的資產價值（但對於為機構投資者而設的股份類別而言，以招股說明書所訂明的較高最低持股量為準）；如閣下在任何基金的持股少於招股說明書不時所訂明的最低持股量，安本基金有權要求閣下贖回閣下在該基金的所有股份。
16. Applications received before 5:00p.m. (Hong Kong time) by the Hong Kong Representative and/or its administration agent on a normal business day, if accepted, will be transacted at that day's net asset value (up to 1% switching fee may apply) per share for the Fund(s) switched from, and at that day's net asset value per share for the Fund(s) switched into.
香港代表及/或其行政代理人於正常營業日下午5時正（香港時間）前收到的申請如獲接受，轉出股份的基金將以該日的每股資產淨值（可收取最多1%的轉換費）進行交易，而轉入股份的基金將以該日的每股資產淨值進行交易。
17. Any bank charges arising from switches will be borne by you.
因轉換而產生的任何銀行收費將由閣下承擔。
18. Applications from corporate investors must only be signed by the authorised signatories named at the time of application for purchase of shares.
公司投資者的申請必須經由在申請購買股份時點名的授權簽署人簽署。

Transfer 轉讓

19. You can transfer all or part of your investment to someone else (provided they meet all criteria to hold shares in abrdn SICAV I) by completing a Transfer Form, which is available from the Hong Kong representative and/or its administration agent.
閣下可透過填妥轉讓表格將閣下的全部或部份投資轉讓予任何人士，惟該等人士須符合持有安本基金股份的所有條件。轉讓表格可向香港代表及/或其行政代理人索取。

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

General 一般事項

20. You must notify the Hong Kong Representative and/or its administration agent immediately of any change in the details you have provided in this form.
閣下在本表格所提供的資料如有任何更改，必須立即通知香港代表及/或其行政代理人。
21. The Hong Kong Representative shall be entitled in its sole and absolute discretion and without prior reference to the applicant to amend or vary any or all of the terms and conditions herein and the Hong Kong Representative shall notify the applicants of such variation in such manner as the Hong Kong Representative may deem fit for this purpose.
香港代表可全權及絕對酌情修訂或修改本表格內任何或所有條款及條件，而毋須事先知會申請人，而香港代表須以香港代表就此而言認為適當的形式通知申請人有關修改。
22. Please note that if you do not invest in the same currency as the currency of denomination of the relevant Fund, administration agent will arrange for the necessary foreign exchange to be performed at the expense of the investor. Normal banking charges will be included in the foreign exchange rate given. All major freely convertible currencies are accepted.
謹請注意，如閣下並非以與有關基金計值貨幣相同的貨幣進行投資，行政代理人會安排進行必要的外幣兌換，費用由投資者承擔。一般銀行收費將計算在所指定匯率內。所有可自由兌換的主要貨幣均被接受。

TERMS AND CONDITIONS

條款及條件

1. Definitions 定義

In these terms and conditions, the following terms shall have the following meanings:
在此等條款及條件內，下列詞語將具有以下涵義：

"Funds" means any funds for which abrdn Hong Kong Limited acts or may from time to time act as Hong Kong representative.
「各基金」指任何安本香港有限公司擔任或可能不時擔任香港代表的基金。

"Investor" means the person or persons who have already or in the future subscribe to shares in the Funds.
「投資者」指現已或日後認購各基金的股份的一位或多位人士。

"Instructions" means instructions given in writing by the Investor.
「指示」指投資者以書面作出的指示。

"Securities" means any shares in the Funds registered in the name of abrdn Nominees Services HK Limited.
「證券」指以 abrdn Nominees Services HK Limited 的名義登記的各基金的任何股份。

"Services" means the nominee services provided by abrdn Nominees as set out in clause 2.
「服務」指第2條條款所列由 abrdn Nominees 提供的代名人服務。

2. abrdn Nominees shall perform all or any of the following Services but reserves the right to refuse to do so if, in its reasonable opinion, there are grounds for such refusal:-
abrdn Nominees 應履行所有或任何下列服務，但如按其合理意見屬有理由拒絕履行者，abrdn Nominees 保留拒絕履行服務的權利：
 - (a) to purchase or subscribe for any Securities in respect of any of the Funds in accordance with Instructions and following receipt of the funds require for the purpose;
按照指示在收訖為有關目的所需的款項後，買入或認購各基金的任何證券；
 - (b) to hold or arrange for the Securities to be held in safe custody;
以妥善保管的形式持有或安排持有證券；
 - (c) to request payment of and receive, on behalf of the Investor, all interest, dividends, bonuses and other payments and distributions in respect of the Securities;
代表投資者要求支付及收取有關證券的所有利息、股息、分紅及其他付款和分派；
 - (d) to take up such rights or interests in respect of the Securities and/or to renounce, sell, assign, transfer or otherwise dispose of such rights or interests on Instructions or as abrdn Nominees may in its discretion think fit in the absence of Instructions;
按指示或在 abrdn Nominees 酌情認為適當時於並無指示的情況下接納有關證券的權利或權益及/或放棄、出售、讓與、轉讓或以其他方式處置該等權利或權益；
 - (e) to redeem Securities on receipt of Instructions and surrender the Securities against receipt of moneys payable on redemption;
在接獲指示時贖回證券，並在收訖贖回應付款項時交回證券；
 - (f) to remit any moneys collected or received in connection with the Securities to the bank account or in accordance with such other instructions which were provided by the Investor at the time of subscription;
將任何就證券所收集或收取的款項匯入投資者在認購時提供的銀行賬戶，或按照投資者在認購時提供的其他指示匯入該等款項；
 - (g) to enter into any agreement or instrument in connection with any Security on Instructions; and
按指示訂立關於任何證券的任何協議或法律文件；及
 - (h) to provide such other services as abrdn Nominees may from time to time agree.
提供 abrdn Nominees 不時同意的其他服務。
3. abrdn Nominees will maintain records which identify the Securities as belonging to the Investor and which segregate the Securities held by abrdn Nominees for its own account and for other customers.
abrdn Nominees 將備存紀錄，以識別屬於投資者的證券，並區別 abrdn Nominees 為其本身及為其他客戶所持有的證券。

abrdn SICAV I – Investment Application Form – Part A

安本基金 – 投資申請表格 – 甲部

4. abrdn Nominees is authorised to take such steps as it may consider expedient to enable it to provide the Services and to exercise its powers hereunder, including the right:-
abrdn Nominees 獲授權採取其認為合宜的步驟，使其得以提供服務及行使其在本條款及條件下的權力，包括有權：
- (a) to comply with any applicable law, regulation, order, directive, notice or request of any competent court or government agency (whether or not having the force of law) requiring abrdn Nominees to take or refrain from action including the right to disclose information about the Investor or any Securities;
遵從任何管轄法院或政府機構所提出規定 abrdn Nominees 採取或不採取行動的任何適用法律、規例、命令、指令、通知或要求（不論是否具有法律效力），包括披露有關投資者或任何證券的資料的權利；
 - (b) to withhold and/or make payment of any taxes or duties payable on or in respect of the Securities without any liability thereof;
預扣及/或繳付因證券或就證券應付的任何稅項或稅款，但毋須承擔與此有關的任何責任；
 - (c) in the absence of or a delay in receiving Instructions, to act or refrain from acting as it may deem expedient;
在並無指示或延遲接獲指示的情況下，作出其可能認為合宜的行動或不作出行動；
 - (d) subject to clause 3 above, to co-mingle the Securities with Securities of other customers;
在不抵觸上文第3條條款的情況下，將證券與其他客戶的證券混合；
 - (e) to prescribe such reasonable period of notice (which shall not be substantially less than any notice period required by the relevant laws, regulations or codes and guidelines to which the Funds are subject) as abrdn Nominees may from time to time specify which the Investor must give to abrdn Nominees before abrdn Nominees will act on any Instruction.
訂明 abrdn Nominees 可不時指明投資者在 abrdn Nominees 按任何指示行事之前必須給予 abrdn Nominees 的合理通知期（此通知期不得遠較各基金須遵守的有關法律、規例或守則及指引所規定的任何通知期為短）。
5. abrdn Nominees will provide the Investor with (a) any correspondence concerning Investor's Securities and (b) notices of all general meetings of the Funds. abrdn Nominees will vote on any resolutions proposed in such notices in accordance with Instructions. In the absence of Instructions, abrdn Nominees shall not participate in or take affirmative action concerning proxies received, attendance at meeting and voting of any rights.
abrdn Nominees 將向投資者提供：(a) 有關投資者的證券的任何通訊及 (b) 各基金的所有股東大會的通知。abrdn Nominees 將按照指示就該等通知內所提出的任何決議投票表決。在並無指示的情況下，abrdn Nominees 不會就所接獲委託書採取肯定性行動、出席會議及參與任何權利投票。
6. In performing the Services, abrdn Nominees will exercise the same degree of care as it exercises in respect of its own property but the provision of the Services does not constitute abrdn Nominees a trustee and abrdn Nominees shall have no trust or other obligations in respect of the Securities except those contained herein.
abrdn Nominees 將以與對待本身財產相同的謹慎態度履行服務，但提供服務並不使 abrdn Nominees 成為受託人，除本條款及條件中所載者外，abrdn Nominees 不會對證券有任何信託或其他責任。
7. abrdn Hong Kong Limited may, at its own expense and with the prior written consent of abrdn Nominees, appoint any person to perform any of the Services on behalf of abrdn Nominees and any of the powers mentioned in clause 4 above may also be delegated to such person but, in such case, abrdn Hong Kong Limited shall remain liable for the fraud, negligence or wilful default of any such appointee as if no such appointment had been made. abrdn Hong Kong Limited and abrdn Nominees are authorised to disclose to any appointee any information they have concerning the Investor or the Securities, but only to the extent that such disclosure is necessary for the performance of the duties for which the appointee has been appointed and such disclosure complies with the applicable laws and regulations on data privacy.
安本香港有限公司在自費及事先取得 abrdn Nominees 書面同意下，可委任任何人士代表 abrdn Nominees 履行任何服務，並可將上文第4條條款所述的任何權力委託予該名人士，但在該情況下，安本香港有限公司仍須對任何獲委任人士的欺詐行為、疏忽或故意失責負上責任，猶如並未作出該委任一樣。安本香港有限公司及 abrdn Nominees 獲授權向任何獲委任人士披露所擁有關於投資者或證券的任何資料，但僅限於此等披露是獲委任人士為了履行其被委予的職責所必要者，以及此等披露符合有關資料私隱的適用法律及規例。
8. abrdn Nominees shall not be liable for any losses of any kind which may be incurred by the Investor as a result of the proper provision of the Services unless due to the fraud, negligence, bad faith or wilful default of abrdn Nominees, any of the appointees mentioned in clause 7 above or their respective agents, officers or employees.
abrdn Nominees 毋須就妥為提供服務令投資者可能招致的任何種類損失負責，惟基於 abrdn Nominees、上文第7條條款所載的任何獲委任人士或彼等各自的代理人、高級人員或僱員欺詐、疏忽、不誠實或故意失責造成的損失除外。
9. The Investor shall indemnify abrdn Nominees, any of the appointees mentioned in clause 7 above and their respective agents, officers and employees in each case against all claims, liabilities, damages, costs and expenses of any kind which may be incurred by any of them and all actions or proceedings which may be brought by or against any of them in connection with the provision of the Services hereunder unless due to the wilful default, bad faith, fraud, or negligence of abrdn Nominees, any of the appointees or their respective agents, officers or employees. This indemnity shall continue notwithstanding the termination of the Services.
如 abrdn Nominees、上文第7條條款所載的任何獲委任人士及彼等各自的代理人、高級人員及僱員當中任何一方就提供本條款及條件下的服務而蒙受任何種類的申索、責任、損害賠償、費用及開支或招致任何法律行動或法律程序，投資者每次均須對 abrdn Nominees、上文第7條條款所載的任何獲委任人士及彼等各自的代理人、高級人員及僱員作出彌償，惟基於 abrdn Nominees、上文第7條條款所載的任何獲委任人士或彼等各自的代理人、高級人員或僱員故意失責、不誠實、欺詐或疏忽造成者除外。此彌償保證將持續有效，即使服務終止亦然。
10. abrdn Hong Kong Limited, abrdn Nominees and the administration agent may at its discretion act on Instructions reasonably believed by any of them to have emanated from the Investor in which case if they act in good faith on such Instructions, such Instructions shall be binding on the Investor whether or not the Instructions were actually given by the Investor.
安本香港有限公司、abrdn Nominees 及行政代理人可酌情按其合理地相信是由投資者發出的指示行事，而在該情況下，如安本香港有限公司及 abrdn Nominees 按該等指示真誠行事，該等指示即對投資者具有約束力，不論指示是否實際由投資者發出。
11. If abrdn Nominees and/or any of the appointees mentioned in clause 7 above are unable at any time or from time to time to provide or continue to provide any of the Services as a result of circumstances beyond their control, abrdn Nominees and/or any of the appointees shall be entitled to suspend or withdraw the affected Services immediately. abrdn Nominees shall notify the Investor promptly of such suspension, withdrawal and any resumption of the Service.
如 abrdn Nominees 及/或上文第7條條款所載的任何獲委任人士因其控制範圍以外的情況而不能在任何時間或不時提供或持續提供任何服務，abrdn Nominees 及/或任何獲委任人士有權立即暫停或撤銷受影響的服務。abrdn Nominees 應就暫停服務、撤銷服務及恢復提供服務迅速通知投資者。

abrdn SICAV I – Investment Application Form – Part A

安本基金 - 投資申請表格 - 甲部

12. abrdn Nominees may terminate its Services by giving not less than 30 days notice to the Investor or in case of a breach by the Investor of these terms and conditions immediately on notice. On termination in such circumstances, the Securities shall be transferred either (a) to the account of another nominee company to be appointed by abrdn Hong Kong Limited or (b) in the absence of such appointment, into the relevant Investor's own name. Accordingly, the Investor hereby consents and agrees to such transfer.
abrdn Nominees可透過給予投資者不少於30日的通知終止其服務，或在投資者違反此等條款及條件的情況下可即時通知終止其服務。如在該等情況下終止服務，證券將被轉移(a)至由安本香港有限公司所委任的另一代名人公司的賬戶或(b)在並無此等委任的情況下，至有關投資者名下。據此，投資者茲允許及同意該等轉移。
13. The withdrawal or termination of the Services shall be without prejudice to the right of abrdn Nominees to settle any transaction entered into or to settle any liability incurred by the Investor or abrdn Nominees on behalf of the Investor or to cancel any unexecuted transactions.
撤銷或終止服務將無損abrdn Nominees以下權利：結算由投資者或abrdn Nominees代表投資者訂立的任何交易或清償由投資者或abrdn Nominees代表投資者所招致的任何責任或取消任何未執行的交易。
14. These terms and conditions shall apply to the successors in title of abrdn Nominees including the person to which the whole or any part of the operations of abrdn Nominees shall be transferred or vested by operation of law or otherwise.
此等條款及條件將適用於abrdn Nominees的所有權繼承人，包括abrdn Nominees全部或任何部份業務藉由法律的執行或其他方式轉移至或歸屬予的人士。

Automatic Exchange of Financial Account Information ("AEOI") **自動交換財務賬戶資料 ("AEOI")**

1. Consent 同意

By signing hereunder, I/we hereby consent that the information provided herein and any further information as may relate to my account/s from time to time or any information deemed to be necessary by the Hong Kong Representative or abrdn Nominees (including but not limited to my/our name, address, tax identification number (if any) and social security number (if any)) may be disclosed by the Hong Kong Representative or abrdn Nominees or its affiliates or authorised agents to any government agency, regulatory authority or tax or fiscal authority in any jurisdictions (including but not limited to the United States Internal Revenue Service ("IRS")), in order to enable the Hong Kong Representative or abrdn Nominees to comply with any applicable law or regulation or any agreement with a tax authority in any jurisdiction (including, but not limited to, any applicable law, regulation or agreement under AEOI) or its obligations to observe legal, government or regulatory requirements of Hong Kong or other relevant jurisdiction as further described in the Terms and Conditions attached to this application form.

I/We agree at any time upon request by the Hong Kong Representative or abrdn Nominees or its affiliates or authorised agents (1) to promptly provide any form, certification or other information (including without limitation (i) a duly executed IRS Form W-8BEN, IRS Form W-8BEN-E, or IRS Form W-8IMY, as appropriate and/or (ii) a duly executed form or certification as the Hong Kong Representative or abrdn Nominees or its affiliates or authorised agents may require for the purpose of AEOI) reasonably requested by and acceptable to the Hong Kong Representative or abrdn Nominees or its affiliates or authorised agents that is necessary (A) to prevent withholding (including, without limitation, any withholding taxes required under Sections 1471-1474 of the United States Internal Revenue Code of 1986, as amended (the "Code"), or qualify for a reduced rate of withholding or backup withholding in any jurisdiction from or through which abrdn SICAV I receives payments and/or (B) to satisfy reporting or other obligations under the Code and the United States Treasury Regulations promulgated under the Code, or to satisfy any obligations relating to any applicable law, regulation or any agreement with any tax or fiscal authority in any jurisdiction (including under AEOI); (2) to update or replace such form, certification or other information in accordance with its terms or subsequent amendments when such form, certification or other information is no longer accurate; (3) to disclose such form, certification or other information to any government agency, regulatory authority or tax or fiscal authority in any jurisdictions in order to enable the Hong Kong Representative or abrdn Nominees to comply with any applicable law or regulation or any agreement with a tax authority in any jurisdiction (including, but not limited to, any applicable law, regulation or agreement under AEOI) or its obligations to observe legal, government or regulatory requirements of Hong Kong or other relevant jurisdiction; and (4) to comply with any reporting obligations imposed by the United States, Hong Kong or any other jurisdiction (including under AEOI), including reporting obligations that may be imposed by future legislation.

I/We agree to promptly notify the Hong Kong Representative or abrdn Nominees or their respective authorised agents in the event any information provided becomes inaccurate and to update or replace such form/certificate in accordance with its terms or subsequent amendments when such form, certification or other information is no longer accurate. I/We hereby further agree to supply the Hong Kong Representative or abrdn Nominees or their respective authorised agents with such other facts as from time to time are deemed necessary or desirable in order to avoid the loss of a contemplated tax benefit to the Hong Kong Representative or abrdn Nominees.

在下文簽署，即表示本人/吾等謹此同意香港代表或abrdn Nominees或其聯繫公司或授權代理可將在此提供的資料及不時可能與本人賬戶有關的任何其他資料或香港代表或abrdn Nominees視為必需的任何資料(包括但不限於本人/吾等的姓名、地址、稅務識別號碼(如有)及社會保障號碼(如有))披露予任何司法管轄區的任何政府機構、監管當局或稅務或財務機關(包括但不限於美國國家稅務局("稅務局"))，以便香港代表或abrdn Nominees遵守任何適用法律或規例或與任何司法管轄區的稅務機關訂立的任何協議(包括但不限於任何適用法律、規例或根據AEOI訂立的協議)或履行其根據本申請表格所附條款及條件進一步載列的有關遵守香港或其他有關司法管轄區的法律、政府或監管要求的責任。

本人/吾等同意隨時應香港代表或abrdn Nominees或其聯繫公司或授權代理的要求：(1)及時提供香港代表或abrdn Nominees或其聯繫公司或授權代理為了(A)避免預扣(包括但不限於經修訂1986年美國國內稅收法("稅收法")第1471至1474條所規定的任何預扣稅)，或合資格在安本基金自其或藉其收取款項的任何司法管轄區享有較低的預扣或備用預扣稅率及/或(B)履行稅收法或根據稅收法公布的美國財政條例的申報或其他責任，或履行任何適用法律、規例或與任何司法管轄區的任何稅務或財務機關訂立的任何協議(包括根據AEOI訂立的任何協議)相關的任何責任而合理要求及接受的任何表格、證明或其他資料(包括但不限於(i)填妥的稅務表格W-8BEN、稅務表格W-8BEN-E或稅務表格W-8IMY(視適用情況而定)及/或(ii)香港代表或abrdn Nominees或其聯繫公司或授權代理就AEOI可能要求的正式簽署表格或證明)；(2)當有關表格、證明或其他資料不再準確時，根據其條款或其後修訂更新或取代有關表格、證明或其他資料；(3)向任何司法管轄區的任何政府機構、監管當局或稅務或財務機關披露有關表格、證明或其他資料，以便香港代表或abrdn Nominees遵守任何適用的法律或規例或與任何司法管轄區的稅務機關訂立的任何協議(包括但不限於任何適用法律、規例或根據AEOI訂立的協議)或履行其有關遵守香港或其他有關司法管轄區的法律、政府或監管要求的責任；及(4)遵守美國、香港或任何其他司法管轄區頒布的任何申報責任(包括AEOI項下的責任)，包括未來法例可能訂明的申報責任。

本人/吾等同意，假如所提供的任何資料變得不正確，本人/吾等須及時通知香港代表或abrdn Nominees或其各自的授權代理，以及當有關表格、證明或其他資料不再準確時，根據其條款或其後修訂更新或取代有關表格/證明。本人/吾等謹此進一步同意向香港代表或abrdn Nominees或其各自的授權代理提供不時被視為必需或適宜的其他事實，以避免香港代表或abrdn Nominees喪失預期稅務優惠。

2. Confirmation of tax residency 確認稅務上的常駐國家

By signing hereunder (and unless otherwise indicated) I/we hereby confirm that I am/we are not currently resident in the United States for tax/IRS purposes or a United States person (within the meaning of the Code) or currently liable to pay any taxes payable to the IRS, and confirm that should I/we become resident in the United States for tax/IRS purposes or a United States person (within the meaning of the Code) or liable to pay taxes to the IRS I/we will immediately notify the Hong Kong Representative or abrdn Nominees of such change in circumstances.

除非另有註明，在下文簽署，即表示本人/吾等謹此確認本人/吾等目前就稅務/稅務局而言並非美國居民或美國人士(按照稅收法的定義)，而目前也無責任向稅務局繳付任何稅款，同時確認假如本人/吾等就稅務/稅務局而言成為美國居民或美國人士(按照稅收法的定義)或變成有責任向稅務局繳付稅款，本人/吾等將會即時通知香港代表或abrdn Nominees。

abrdn SICAV I – Investment Application Form – Part B

安本基金 - 投資申請表格 - 乙部

3. Withholding obligations 預扣責任

I/We acknowledge, as a person that is not a United States person (within the meaning of the Code) that I/we could be subject to United States withholding tax on a portion of my/our distributive share of the income of the relevant fund of abrdn SICAV I (generally, U.S. source income).

I/We acknowledge that in the event I/we do not provide the requested information and/or documentation, whether or not that actually leads to compliance failures or to the Hong Kong Representative or abrdn Nominees being subject to withholding tax under any applicable law, regulation, fiscal or tax requirements (whether statutory or not) including but not limited to FATCA, the party reserves the right to take any applicable action and/or pursue any applicable remedy at its disposal.

本人/吾等明白，身為非美國人士（按照稅收法的定義），本人/吾等可能須就本人/吾等獲安本基金有關基金所派發的部分收入（一般為美國來源收入）繳付美國預扣稅。

本人/吾等明白，假如本人/吾等無提供所要求的資料及/或文件，無論有否實際引致香港代表或abrdn Nominees無法達到規定或須要根據任何適用法律、規例、財務或稅務要求（不論是否法定要求）及包括但不限於FATCA繳付預扣稅，有關一方會保留權利採取任何適當行動及/或任何其可以動用的適當補救措施。

4. Terms and Conditions 條款及條件

The Hong Kong Representative and abrdn Nominees are currently subject to certain tax requirements introduced under AEOL. As such, the Hong Kong Representative and abrdn Nominees are subject to certain requirements in respect of providing information to the IRS, and/or to local tax or other government authorities, in respect of matters that relate to tax payable under the tax regime in any jurisdiction (including under AEOL). In respect of the information that may be required to be provided, this may include information that identifies the investor, as well as further account information such as, but not limited to, financial information.

香港代表及abrdn Nominees目前須要遵守根據AEOL頒布的若干稅務要求。因此，香港代表及abrdn Nominees須要遵守向稅務局及/或地方稅務或其他政府機關就根據任何司法管轄區的稅務制度（包括根據AEOL）應付稅款相關事宜提供資料的若干要求。就可能被要求提供的資料而言，或會包括識別投資者身分的資料，以及其他賬戶資料，例如（但不限於）財務資料。

For the purpose of this Part B of abrdn SICAV I – Investment Application Form, AEOL means:

- (i) The United States Foreign Account Tax Compliance Act ("FATCA");
- (ii) the Organisation for Economic Co-operation and Development (OECD) Standard for Automatic Exchange of Financial Account Information in Tax Matters – the Common Reporting Standard (the "CRS") and any associated guidance;
- (iii) any intergovernmental agreement, treaty, regulation, guidance, standard or other agreement between the Hong Kong government (or any government body in Hong Kong) and any other jurisdiction (including any government bodies in such jurisdiction), entered into in order to comply with, facilitate, supplement or implement the legislation, regulations, guidance or standards described in sub-paragraphs (i) and (ii); and
- (iv) any legislation, regulations or guidance in Hong Kong that give effect to the matters outlined in the preceding sub-paragraphs.

就安本基金 - 投資申請表格 - 乙部而言，AEOL 指：

- (i) 海外賬戶稅收合規法案（「FATCA」）；
- (ii) 經濟合作與發展組織（經合組織）有關稅務事宜的自動交換財務賬戶資料準則-共同匯報標準（「CRS」）及任何相關指引；
- (iii) 香港政府（或香港的任何政府機構）與任何其他司法管轄區（包括該司法管轄區的任何政府機構）就遵守、利便執行、補充或實施第(i)及(ii)分段所述的法例、規例、指引或準則而訂立的任何政府間協議、條約、規例、指引、準則或其他協議；及
- (iv) 香港任何使前述分段所述事宜生效的任何法例、規例或指引。

Individual Self-Certification (for FATCA) 個人自行聲明

FATCA Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant authorities. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

稅務規例要求吾等向每海外賬戶稅收合規法案(「FATCA」)規例要求吾等向每名投資者收集有關稅務上的常駐國家的資料。在若干情況下(包括如吾等並無收到閣下的有效自行聲明),吾等可能有責任向有關當局提供閣下的賬戶資料。如閣下對本身的稅務上的常駐國家有任何疑問,請聯絡閣下的稅務顧問。如任何已提供資料日後有所變更,請確保閣下及時通知吾等。

Tax residency 稅務上的常駐國家

Section 1 – Individual Self-certification – to be completed by individual investors, including joint holders 第1部分 – 個人自行聲明(由個人投資者填寫,包括聯名持有人)

Declaration of U.S. Citizenship or U.S. Tax Residence
聲明身為美國公民或以美國為稅務上的常駐國家

Please tick either (a) OR (b) and provide the necessary information.
請選擇 (a) 或 (b) 及提供所需資料。

(a) ☐ I confirm that I am a U.S. Citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number is as follows:
本人確認身為美國公民及/或就稅務而言身為美國居民,而本人的美國聯邦納稅人識別號碼是:

U.S. TIN
美國納稅人號碼

OR
或

(b) ☐ I confirm that I am NOT a U.S. Citizen or resident in the U.S. for tax purposes
本人確認本人並非美國公民或就稅務而言並非美國居民。

Declaration 聲明

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.
本人聲明在本表格提供的資料按本人所深知及確信屬於準確及完整。

I agree to notify a/b/dn Hong Kong Limited immediately in the event the information in this self-certification form changes.
本人同意,假如在自行聲明所提供的資料有所變更,將會即時知會安本香港有限公司。

Signed by (please print name)
簽署人(請用正楷填寫)

Permanent Address
永久地址

Date of birth
出生日期

Signature
署名



Date
日期

abrdn SICAV I – Investment Application Form – Part B

安本基金 - 投資申請表格 - 乙部

Entity self-certification (for FATCA) 實體自行聲明

FATCA regulations require us to collect certain information about each investor's tax residency and tax classifications. Please complete all sections below as directed. If you have any questions about your organisation's classifications, please contact your tax advisor. Full details of key definitions are available in the Intergovernmental Agreement which can be found at www.treasury.gov. Should any information provided change in the future, please ensure you advise us on the changes promptly.

稅務規例要求吾等向每海外賬戶稅收合規法案 (「FATCA」) 規例要求吾等向每名投資者收集有關稅務上的常駐國家及稅務分類的若干資料。請按指示填妥以下所有部分。如閣下對本身的機構分類有任何疑問，請聯絡閣下的稅務顧問。主要定義詳情載於跨政府協議，請到 www.treasury.gov 參閱。如任何已提供資料日後有所變更，請確保閣下及時通知吾等。

Section 1: Tax residency 第1部分：稅務上的常駐國家

If your organisation has more than one country of tax residency, please complete one self-certification form for each country. 如閣下的機構擁有多於一個稅務上的常駐國家，請就每個國家分別填寫一張自行聲明表格。

- 1.1 Please state the country in which your organisation is resident for tax purposes
請列明閣下的機構就稅務而言屬於哪個國家的居民

- 1.2 Please provide us with your organisation's tax reference number
請提供閣下的機構的稅務參考號碼

Section 2: Organisation's classification for FATCA purposes 第2部分：機構就FATCA而言的分類

Please tick one box only in this section, with reference to the tax residency stated in box 1.1
於此部分，因應第1.1項所列的稅務上的常駐國家，請只選擇以下其中一項。

- | | | |
|------|---|--------------------------|
| 2.1 | Exempt Beneficial Owner
豁免最終受益人 | <input type="checkbox"/> |
| 2.2 | Participating Foreign Financial Institution
參與外國金融機構 | <input type="checkbox"/> |
| 2.3 | Non-Participating Foreign Financial Institution
非參與外國金融機構 | <input type="checkbox"/> |
| 2.4 | Hong Kong Financial Institution or a Partner Jurisdiction Financial Institution
香港的金融機構或夥伴司法管轄區的金融機構 | <input type="checkbox"/> |
| 2.5 | Financial Institution resident in the USA or in a US Territory
居於美國或美國領土的金融機構 | <input type="checkbox"/> |
| 2.6 | Deemed Compliant Foreign Financial Institution (besides those listed above)
視作合規的外國金融機構 (以上所列除外) | <input type="checkbox"/> |
| 2.7 | Active Non-Financial Foreign Entity
活躍非金融外國實體 | <input type="checkbox"/> |
| 2.8 | Passive Non-Financial Foreign Entity ¹
被動非金融外國實體 ¹ | <input type="checkbox"/> |
| 2.9 | Excepted Non-Financial Foreign Entity
豁免最終受益人 | <input type="checkbox"/> |
| 2.10 | Specified US Person other than Financial Institution resident in the USA or in a US Territory
居於美國或美國領土內的金融機構以外的特定美國人士 | <input type="checkbox"/> |

¹ A Passive Non-Financial Foreign Entity will be required to submit individual tax residence self-certifications for each of its Controlling Persons

¹ 被動非金融外國實體須就每名擁有控制權的人士分別提交一份稅務上的常駐國家的自行聲明。

abrdn SICAV I – Investment Application Form – Part B
安本基金 - 投資申請表格 - 乙部

Section 3: Declaration
第3部分：聲明

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete. I agree to notify abrdn Hong Kong Limited immediately in the event the information in this self-certification form changes.
本人聲明在本表格提供的資料按本人所深知及確信屬於準確及完整。本人同意，假如在自行聲明所提供的資料有所變更，將會即時知會安本香港有限公司。

If applicable, please provide your GIIN number
如適用，請提供閣下的全球中介機構識別號碼

Signed by (please print name)
簽署人 (請用正楷填寫)

On behalf of (organisation name)
代表 (機構名稱)

Position (in organisation)
職位 (在機構所擔任職位)

Signature
署名



Date
日期